

Part I:

Current Issues in Voter Turnout

1. Stages in the Electoral History of Western Europe

Rafael López Pintor

The history of voter enfranchisement and universal suffrage is part of the history of the quest for and achievement of civil rights and political freedoms. The demand for voter enfranchisement cannot generally be separated from a broader demand for social equality and the general struggle for rights and freedoms.

It is a story of social conflict. The quest for universal suffrage in Europe was an important aspect of the social and political emancipation of newly emerging social classes during the 19th century—first an urban middle class, then the industrial proletariat—and then, by extension, the transformation of the peasantry. The banner of universal suffrage was first raised by the liberal movement of the 19th century, and later in the same century by the socialist parties. The development of trade unions and political parties implied a move away from absolute political control by crowned rulers and landowning aristocracies: trade unions and political parties were crucially important in the realization of the demand for universal suffrage. Later, from the last quarter of the 19th century onwards, came the struggle against the industrial bourgeoisie and governmental bureaucratic elites towards increased general social and political autonomy.

Landmarks for Freedom

In the West European region as a whole, several landmarks can be identified on the road to full or universal voter enfranchisement. The first seeds were sown by the

Part I: Current Issues in Voter Turnout

English Bill of Rights of 1689 and the French Revolution, especially the latter, which had an impact on many other countries both in and outside Europe. Second, although the liberal revolutions which took place across Europe in 1848 were unsuccessful, the ideas which inspired them gained ground during the nineteenth century. Third, there was the period between the First and Second World Wars when voting rights were legally recognized for large sectors of the population, especially women. Finally, there was the period after the Second World War when the right to vote was made truly universal—in practice if not by law—thanks to the democratic commitment of the victorious Allies and the unprecedented socio-economic prosperity which was built in the post-war period.

The fight for rights and freedoms throughout the 19th and 20th centuries in Western Europe had a historical antecedent in the signing of the Bill of Rights in England in 1689, which the English elite imposed on the new King and Queen of England, William III of Orange and his wife Mary, after the ousting of King James II, a Catholic. The Bill declared the rights and liberties of the subjects, and settled the succession of the crown. It was followed by the Act of Toleration of 1690 on religious practices and the revival of the earlier Triennial Act preventing the King from dissolving Parliament at will and establishing that general elections should be held every three years. The franchise at the time was however limited to the landowning aristocracy and the upper levels of an urban bourgeoisie, and included only males in their mid-20s and over. This predated by almost 100 years the revolution fought by the European émigrés in North America which led to independence in 1776 and laid the foundation of an electoral democracy in the United States of America with the constitution of 1787, just two years before the French Revolution of 1789. In France, universal suffrage was granted to adult French men in 1848 by the February revolution which toppled Louis Philippe. As a consequence, Louis Napoleon Bonaparte was elected president on the basis of his name alone. The Paris Commune of 1871—an attempted proletarian revolution—ruled that municipal councillors could be elected by universal suffrage. However, regime changes in different countries brought reversals from time to time of some of the advances achieved, for example in France.

Gender, Property, Age, and Education as Barriers to Voter Enfranchisement

Between 1870 and the 1940s, universal suffrage was established for males in Austria, Denmark, Italy, France, Germany, Spain and Switzerland. During the same time period, in other countries the male suffrage already estab-

lished was further extended to practically the entire male adult population—Belgium, Finland, Norway, the United Kingdom and Sweden. While in many of these countries women's right to vote was legally established after the First World War in recognition of the supportive role played by women during the conflict, in some countries the gender barrier was the last to fall after a century of struggle for the female franchise. There are states in Europe where women were only enfranchised a few decades ago, most notably Switzerland in 1971 and the micro-state of Liechtenstein in 1984.

The earliest countries in Europe to give legal recognition to women's right to vote were Finland in 1906 and Norway in 1913. The struggle for women's suffrage was particularly intense in the UK, with the Chartist movement demanding the suffrage for women from the 1840s, followed by the Labour Party after it was founded in 1900. Socialist parties in many other European countries also incorporated the right to vote for men and women alike into their programmes. The inter-war period and the aftermath of the Second World War saw women being given the right to vote in many European countries—Austria, Czechoslovakia, Germany, Poland, Sweden and the UK in 1918-1919; Hungary in 1920; Spain in 1931; France in 1944; Italy in 1945; and Greece in 1952 (see chapter 4). In general, it can be said that barriers to enfranchisement based on property were lowered in the countries of Europe during the late 19th century, age barriers had come down by the early 20th century, and education and gender barriers only finally disappeared by the middle of the 20th century or even later. Needless to say, these are general trends within which each country has taken its own road towards universal suffrage, setting its own landmarks.

Once male suffrage was granted, the first barrier to the exercise of the new right was a property barrier. Although male suffrage was granted throughout Europe in the revolutionary years after 1848, voter eligibility was mostly limited by property or tax qualifications until much later in the century, and in some cases well into the 20th century, when voting rights were extended beyond the boundaries of the propertied classes. 'Universal' male suffrage actually fell well short of being universal. In Great Britain, for example, the property qualification was called the 'lodger' vote as it implied the ownership of a freehold or the occupation of premises of a certain value. In Spain, where 'universal male suffrage' was first established by the Cadiz Constitution of 1812, this was in actuality a right for the bourgeoisie and was only extended to the wider propertied classes in 1837. The wider suffrage after 1837 was called the censitary vote, which could only be exercised by citizens who paid taxes above a certain amount

(censo). Only 3.5 per cent of the population could vote under this system, which was a relatively high proportion within the European context of the time: it was similar to the percentage in Great Britain and the Netherlands, and much higher than that of Belgium, where 1 per cent of the population were actually able to vote, or France under Louis Philippe, where the figure was 0.67 per cent. The 1844 coup d'état in Spain reversed the situation by once again limiting the exercise of universal suffrage to the upper bourgeoisie. With the restoration of democracy during the last quarter of the 19th century there was a progressive reduction of the tax threshold above which the right to vote applied. Property limitations were less severe in countries like Greece, where the 1844 constitution established universal suffrage for those holding land property. Due to the predominance of small peasant ownership, the suffrage in Greece now became almost universal.

A second barrier to the right to vote was age. In general, a minimum voting age between 23 and 30 was the rule until later in the 20th century, when it was set at 18. At the beginning of the 20th century, it was 24 in Austria, 25 in Belgium, Prussia, the Netherlands and Norway, and 30 in Denmark. In Sweden the voting age for general elections was lowered to 21 from 23 only in 1945. In the UK, where women had been granted the right to vote in 1918, the voting age for women then was 30; it was reduced to 21 in 1928, and the voting age for both men and women was further lowered to 18 in 1969. In France, the right to vote at age 18 was also established in 1969. Most recently, the German state (*Land*) of Lower Saxony (*Niedersachsen*) lowered the voting age in local elections to 16 in 1995. Other German states have since followed, and three Austrian states (*Länder*) have also introduced a voting age of 16 in local elections. In contrast, the voting age for elections to the Italian Senate remains at 25. Also until late in the 20th century, a common qualification for the exercise of the right to vote was literacy: voters should know how to read and write.

Following these reflections on the history of voting rights in Western Europe, some brief comments on the present-day frontiers in the advance of the actual practice of universal suffrage are appropriate.

Among the major challenges are the following:

- *making voting easier* for the elderly and the disabled. Postal voting and easier access to polling stations are making voting easier for the disabled, and an international association has been set up to promote this cause;
- *improving the efficiency* of voting from abroad. A cross-national study of nationals voting from abroad has recently been carried out under the auspices of the national electoral authority of Mexico, the Instituto Federal Electoral (IFE). An assessment of the experiences of postal voting in Spain, Portugal and Austria, and of a mixed system in Sweden, has also been conducted (<<http://www.universidadabierta.edu.mx>>);
- *allowing non-nationals who are resident* to vote in local elections in European Union countries (European Commission 2002); and
- *the assessment of the impact of electronic voting on participation*, considering questions of efficiency and the quality of the vote, and possible drawbacks for example in the area of electoral integrity (see chapter 5).

References and Further Reading

European Commission, *Report from the Commission to the European Parliament and the Council on the Application of Directive 94/80/EC on the Right to Vote and to stand as a Candidate in Municipal Elections* (Brussels, May 2002)

Universidad Abierta, <<http://www.universidadabierta.edu.mx>>

Inter-Parliamentary Union, <<http://www.ipu.org>>

2. Voter Turnout in the European Union Member Countries¹

Richard Rose

Western Europe has more long-established democracies than any other region of the world. Free elections have been held without interruption for more than a century in countries such as Belgium, Denmark, France, Ireland, Luxembourg, the Netherlands, Sweden and the United Kingdom. Free elections were introduced by the end of the First World War in Austria, Germany, Finland and Italy, but interrupted by periods of undemocratic rule. Even the relative late-comers—Greece, Portugal and Spain—have had free elections for more than a quarter of a century, long enough for most adults to have enjoyed the right to vote throughout their adult lives.

Yet turnout in West European countries is not as high as democratic activists would like, and there are some signs that electors are less likely to vote today than they were a generation ago. Among the 233 national *parliamentary elections* that took place in 15 different European Union (EU) member countries up to the end of 2002 and an additional 64 national elections for the European Parliament since the Second World War, it is always possible to find examples of turnout going down or going up, and generalizations based on one country can be contradicted by generalizations drawn from another.

¹This chapter analyses turnout in elections up to April 2002. It therefore excludes nine elections held subsequently, which are included in the statistical tables at the end of the book.

Part I: Current Issues in Voter Turnout

Moreover, in half a century turnout can fluctuate up as well as down. Hence, the purpose of this chapter is to review trends in turnout systematically in order to determine whether or not the electorates of many of the world's oldest democracies are losing interest in exercising their right to vote and, if so, why.

Differences Between Countries and Across Time

When the latest election results are compared across national boundaries, differences in turnout are immediately apparent. Even though a majority of electors invariably participate in their national elections, there is a big gap between the highest and lowest turnouts. The Belgian turnout of 90.6 per cent in 1999 was more than half as great again as the record low turnout of 59.4 per cent in the UK in 2001.

In parliamentary *elections* from 1945 to 2002, the average turnout in the EU member countries has been 83.0 per cent of the registered electorate. This average in fact underrepresents the proportion of the electorate who usually vote, for it is consistent with every elector voting in five out of six national elections. When a citizen is occasionally absent from the polls this is a sign not of political disaffection but of an unexpected or unwanted change in personal circumstances, such as being unexpectedly sick or on holiday on election day. Voting turnout may also be depressed by inaccuracies in the electoral register, such as the inclusion of deceased persons or those who have emigrated as still eligible to vote. In short, an overwhelming majority of citizens have voted in a majority of the elections in which they are eligible to vote.

A multi-national average conceals substantial differences between countries in the average level of turnout in each (figure 2.1). Belgium has consistently had a high turnout: in the 18 elections to the national Parliament from 1945 to 1999 an average of 92.5 per cent of the electorate participated, and turnout has never dropped below 90 per cent. In Luxembourg and Italy, almost nine-tenths of electors have usually voted. At the other end of the continuum, turnout averages 73.2 per cent in Ireland, and below 75 per cent in Portugal and France. Even here, however, to describe turnout as 'low' in a country in which three out of four voters participate in elections is misleading; it would be more accurate to describe turnout as 'less high' or simply as below the EU average.

In the past half-century turnout has varied relatively little: the standard deviation is only 8.3 per cent. In more than two-thirds of national elections, 75 per cent of the electorate votes and there is a turnout of more than 90 per cent in almost one-third of all elections. In ten of the 15 EU countries, turnout at every election in more than half a century has always been 75 per cent or higher. Only

Figure 2.1: Turnout in Elections in the EU Member Countries, 1945–2002

Figures are percentages of the registered electorate.

	Minimum	Maximum	Mean
Belgium	90.0	95.1	92.5
Austria	80.4	96.8	91.3
Compulsory, 1945–79	91.8	96.8	94.0
Semi-compulsory 1983–	80.4	92.6	86.3
Italy	81.4	93.9	89.8
1946–87	88.9	93.9	91.8
1992– (new system)	81.4	87.4	84.5
Luxembourg	86.5	91.6	89.7
Netherlands	73.2	95.6	87.2
Compulsory 1945–67	93.1	95.6	94.7
Non-compulsory 1971–	73.2	88.0	81.9
Denmark	80.6	89.9	86.0
Sweden	77.4	91.4	85.7
Germany	77.8	91.1	85.0
1949–87	78.5	91.1	87.0
1990 (reunification)	77.8	82.2	79.5
Greece	75.0	84.5	79.9
1951–64 (pre-coup)	75.0	83.0	78.5
1974–	75.0	84.5	81.0
Finland	65.3	85.1	76.0
Portugal	61.0	91.7	75.7
United Kingdom	59.4	83.6	75.2
France	60.3	82.7	74.8
4th Republic	78.8	82.7	81.1
5th Republic	60.3	81.3	72.7
Spain	68.1	79.8	73.6
Ireland	66.1	76.9	73.2
EU countries	59.4	96.8	83.0

Source: Figures supplied from the International IDEA Voter Turnout database for elections in all EU member countries from 1945 to April 2002.

once in 233 national parliamentary elections has turnout dropped below 60 per cent of the registered electorate; this happened in the UK in 2001.

Differences in turnout within countries are greater than the difference between countries. In Portugal there is a difference of 30.7 percentage points between the 1975 high, in the country's first free election, and the 1999 parliamentary election. Turnout has also varied more within the Netherlands, France and the UK than it has between the two countries with the highest and lowest turnouts over the period, Belgium and Ireland.

Changes in the rules for conducting elections or governing can affect average turnout. Since the Netherlands in 1967 repealed a law making it compulsory for registered electors to vote, turnout has fallen by an average of 12.8 percentage points. Since Austria stopped imposing a

Voter Turnout in Western Europe

federal requirement to vote (see also chapter 3), average turnout has fallen by 7.7 percentage points. The Greek regime that replaced military rule has achieved a higher average turnout than the regime that governed before the 1967 military coup. However, in France the change from the Fourth to the Fifth Republic in 1958 was accompanied by a fall in turnout. In Italy, the introduction of a new electoral system as part of a campaign against corruption was accompanied by a fall in average turnout.

In the past half-century, great changes have affected the electorates in every West European country. There has been a rise in the level of education and average income, which is associated with increased electoral participation. Concurrently, there has been a 'de-ideologization' of politics, as parties of the left and the right have tended to move towards the centre. Insofar as the clash of ideologies reflected an electorate so strongly committed to their different parties that they would be certain to turn out at every election, then a decline in ideological commitment would lead to a fall in turnout. Furthermore, some commentators have argued that declining turnout reflects a healthy apathy, as voters no longer see elections as a clash between rival camps but as a means of influencing all parties to adopt similar, moderate policies offering what most electors want.

From the end of the Second World War until 1959, turnout in the states that were EU members before 2004 averaged 84.7 per cent. In the period of economic boom between 1960 and 1973, turnout was virtually the same, 85.6 per cent. When economic conditions soured due to oil price rises, world recession and inflation, turnout was hardly affected; it averaged 83.9 per cent between 1974 and 1987. Turnout has only shown signs of falling since 1988, averaging 78.0 per cent since then.

It is a half-truth to say that turnout is falling. In eight countries—Portugal, the Netherlands, France, Austria,

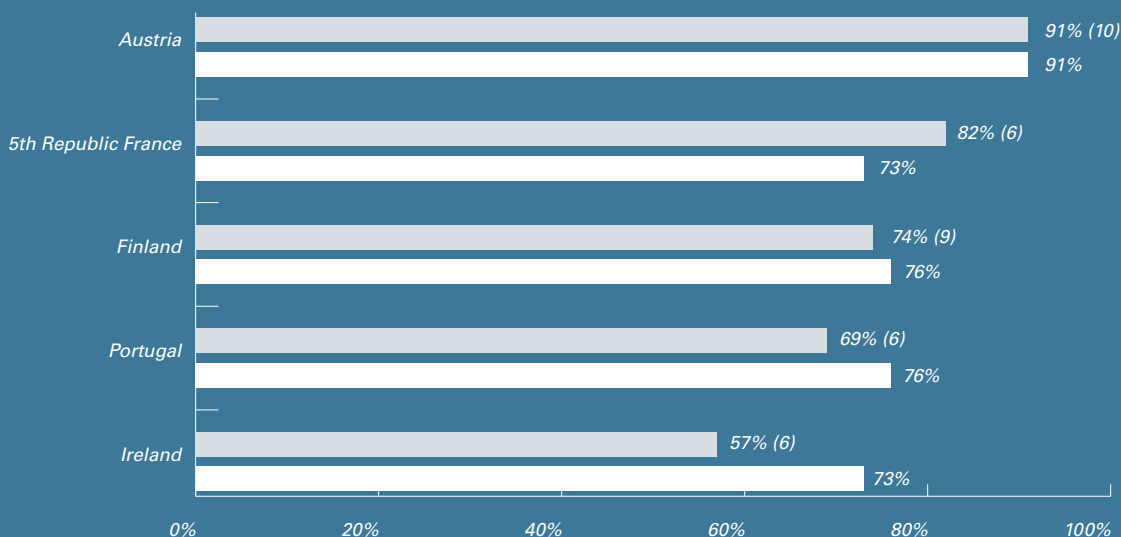
Finland, Italy, the United Kingdom and Luxembourg—there has been a clear downward trend in turnout, as measured by a least squares regression line.¹ However, in seven countries—Greece, Denmark, Belgium, Spain, Sweden, Germany and Ireland—fluctuations both up and down are so numerous that there is no clear trend in either direction.

The biggest and steadiest downward trend is in Portugal. Even though Portugal has a competitive party system and government often changes hands as a result of an election, there has been a trend fall in turnout of more than 3 per cent between one election and the next since free elections were introduced in 1975. In the Netherlands, a large downward trend in turnout took place following the abolition of compulsory voting in 1967. In France, the Fifth Republic has experienced a continuing fall in turnout from 77 per cent in the 1958 election to 60 per cent in the parliamentary election of 2002.

In a television age in which personalities are considered at least as important as political parties, a *presidential election* may be expected to produce a high turnout, because it is palpably a contest between individuals. However, while every pre-2004 EU member state elects a parliament, popular election of the president occurs in only five countries. Where the head of state is a monarch, no election is necessary and in Italy, Germany and Greece the head of state is chosen by the national assembly rather than by direct election. Where there is a popularly elected president, the powers of the office vary greatly. They are greatest in France, where the president is superior to the prime minister, and substantial in Portugal and Finland, but in Austria and Ireland the president's political role is slight.

In France, turnout in presidential elections averages nine percentage points higher than in the first-round bal-

Figure 2.2: Turnout in Presidential and Parliamentary Elections Compared.



* The darker bars—the upper bar for each country—represent presidential elections and the lighter bars parliamentary elections

Part I: Current Issues in Voter Turnout

lot for the National Assembly (see figure 2.2). However, the French pattern is atypical. In Ireland, where the office is sometimes filled without a contest because there is inter-party agreement about who should hold the ceremonial post, turnout for presidential elections averages 16 percentage points less than the average for elections to the Dail (Parliament). In Portugal, presidential elections produce a turnout 7 percentage points lower than elections to the National Assembly, and there is a significant downward trend. In the first Portuguese presidential election in 1976 three-quarters of the electorate voted, while in 2001 the turnout was even lower than that for the US presidential elections, at 50.0 per cent.

Explanations of Turnout

In Western Europe, the *electoral system* usually reflects laws enacted by a coalition government that depends on two, three or even four parties for support and, as coalitions vary between countries, so too do electoral arrangements. Political scientists have taken advantage of this fact to formulate the following hypotheses. Election turnout will be higher if:

- *Members of parliament (MPs) are elected by proportional representation (PR).* In PR elections, once support for a party exceeds a real or implicit threshold of five per cent or less, every vote cast for it helps the voters' choice get into the parliament. By contrast, in first-past-the-post elections, the winner needs just a plurality of the vote, thus causing many votes to be 'wasted'. Advocates of PR claim that it raises turnout by reducing the percentage of wasted votes. Of the 15 EU member countries before 2004, 11 have a PR electoral system and two (the UK and France) do not. Germany and Italy have mixed member proportional (MMP) systems.
- *Voting is compulsory.* Making voting compulsory ought to make turnout higher than it is in countries where it is voluntary. However, the obligation to vote usually involves 'soft compulsion', for penalties can be light or not enforced. Moreover, even if voting is voluntary, many electors may have internalized cultural norms of civic participation, thus reducing the impact of compulsion. Belgium, Luxembourg and Greece have consistently sought to make voting compulsory; the Netherlands had compulsory voting up to and including the 1967 election; and Austria had compulsory voting at the national level up to and including the 1979 election. Italy states that it is a duty of the citizen to vote but sanctions are not effective.
- *Elections are held on a rest day, not a workday.* If an election is held on a Saturday or Sunday, or election day is a public holiday, the free time in which employed electors can vote is greatly increased. At least one day of voting is a rest day in nine pre-2004 EU countries—Austria,

Belgium, France, Germany, Greece, Italy, Luxembourg, Portugal and Sweden. In the other six, employed electors are expected to make time to vote in addition to meeting their workday obligations.

- *Voters are closer to their representatives.* British politicians often argue that electors are closer to their representatives when votes are cast for an individual candidate in a single-member electoral district under the first-past-the-post system. However, such districts can have up to 100,000 electors, thus making personal contact 'virtual' at best, and the majority of electors often do not know the name of their MP. A measure that can be used for 'closeness' is the ratio of number of electors to number of MPs.
- *Free elections are long established.* Insofar as socialization into a democratic political system when young encourages citizens to vote, the longer a country has held free elections the more likely citizens are to vote. It is only possible for all electors to have experienced democratic socialization in their youth if a country has had free elections without interruption since the end of the First World War. This criterion is met by seven EU countries, and five more have consistently held free elections since 1945.

Political sociologists assume that a country's social and economic features, such as material prosperity and levels of education, will be the primary influences on electoral participation. Factor analysis shows that gross domestic product (GDP) per capita, employment in non-agricultural sectors, education and the foreign population of a country form a single factor, while government expenditure as a percentage of GDP forms a second factor. For clarity, the multitude of socio-economic influences are therefore reduced to one for each factor, in order to test the next hypotheses—that election turnout will be higher if:

- *Citizens are materially better off.* For comparative purposes, material well-being can be measured by GDP per capita, adjusted by purchasing power parity. The difference between the most prosperous and the least prosperous EU countries is less than 2 : 1. In more prosperous countries, citizens are more likely to be urbanized and therefore to find it easier to reach a polling station, and to be better educated, having a greater awareness of parties, candidates and the importance of elections in a democracy.
- *Government is important for citizens' material well-being.* Empirically as well as ideologically, governments differ in the extent to which public expenditure pays for citizens' health care, social security and education. The more a government raises in taxes, the more money it is able to spend on welfare policies benefiting large

Voter Turnout in Western Europe

segments of the electorate. The combination of higher taxes and greater benefits increases the incentives for individuals to vote, whether to keep benefits high or to cut high taxes. Public expenditure as a percentage of national GDP varies from a low of 29.3 per cent in Ireland to to a high of 55.1 per cent in Sweden.

While each of the above propositions is familiar, they cannot all be true, or at least equally true. The number of elections since 1945 is large enough to produce statistically reliable tests of alternative theories about what makes for differences in turnout. After controlling for the effects of other influences, statistical analysis shows that all five political variables have a significant independent influence on turnout (figure 2.3). Where citizens have lived all their lives in a democratic system, net of other factors turnout is almost 10 percentage points higher than in new democracies such as Spain, Portugal and Greece². Proportional representation also has a considerable impact: its use can raise turnout by 8.8 percentage points net of other influences. Making voting compulsory tends to raise turnout by 5.3 percentage points. Calling elections on a rest day raises turnout by 3.9 percentage points, net of other influences. The number of electors per MP also affects turnout, but not in the way expected: the greater the number of electors an MP represents, the higher the turnout. However, the impact is slight: increasing the ratio by 10,000 electors, net of other influences, adds only two-thirds of 1 per cent to turnout. Socio-economic factors have no significant influence on turnout. The regression analysis shows that, after controlling for the effect of the influences described in the preceding paragraph, a country's GDP per capita has no effect on turnout. Likewise, the amount of money that government raises in taxes and spends on public policies has no effect on turnout. Additional statistical analyses along similar lines to those in figure 2.3 show that the urban–rural division of the labour force does not affect voter turnout, nor does the percentage of foreign migrants.

Elections to the European Parliament

The EU originated as an elite bargain between national leaders concerned with preventing another war in Europe. The 1957 Treaty of Rome was not a response to popular pressures, nor was it subject to national referendums. The expansion of membership has sometimes required referendum votes, some of which have been lost, most notably in Norway. On occasion, two referendums have been held before the electorate produced the result the political elites wanted, for example, in Denmark and in Ireland.

Elections to the European Parliament were first held in 1979, more than two decades after the foundation of

what was then the European Economic Community (EEC). Nine countries participated in the founding election. Elections have been held at five-year intervals since then, with additional countries participating as the EU has enlarged. In the first election, turnout averaged 65.9 per cent, a lower figure than national elections around that time. At each election since, participation in European Parliament elections has declined. In 1999 turnout was 52.8 per cent.

There are very great differences between the member states in the proportion of electors participating in elections to the European Parliament (figure 2.4). In Belgium, where voting is compulsory, an average of 91.2

Figure 2.3: Influences on Voter Turnout in the EU Member Countries

Results of a multiple regression analysis explaining 59.1% of the variance in turnout in 233 national elections from 1945 to April 2002

	b ^a	Beta ^a
Length of time over which free elections have been held ^b	4.9	.44
Proportional representation	8.8	.43
Compulsory voting	5.3	.29
Election day a rest day	3.9	.23
Electors per MP ('000)	0.066	.22
GDP per capita	not significant	
Government expenditure as a % of GDP	not significant	

^a The b value is the unstandardized regression coefficient; the Beta value is the standardized regression coefficient.

^b The lengths of time for which countries have held free elections are divided into three categories: (a) for the lifetime of present-day voters; (b) consistently since 1945; and (c) for about a quarter-century (Greece, Portugal and Spain).

Source: Figures supplied from the International IDEA Voter Turnout database for elections in all EU member countries from 1945 to April 2002.

per cent participate, and in Luxembourg the proportion is almost as high. At the other extreme, less than one-third of British voters participate in a European Parliament election. In five more countries—Sweden, Finland, the Netherlands, Denmark and Portugal—less than half the electorate can be bothered to vote for their representatives at the European level of governance.

Differences between the percentage turning out to vote in national and in European Parliament elections are also striking. In the UK and Sweden, turnout at European Parliament elections averages less than half that at national

Part I: Current Issues in Voter Turnout

Figure 2.4: Turnout in Elections to the European Parliament, by Country, 1979–99

	No. of European Parliament elections	Turnout in European Parliament elections (%)	Turnout in national elections (%)	Difference
Sweden	2	40.2	80.8	– 40.6
United Kingdom	5	32.3	72.1	– 39.8
Denmark	5	49.4	88.3	– 38.9
Netherlands	5	44.3	81.3	– 37.0
Germany	5	58.0	82.9	– 24.9
Austria	2	58.3	80.4	– 22.1
Finland	2	43.8	65.3	– 21.5
Portugal	4	49.9	66.1	– 16.2
Ireland	5	54.8	70.9	– 16.1
France	5	53.1	68.9	– 15.8
Spain	4	61.7	73.5	– 11.8
Italy	5	79.0	86.6	– 7.6
Greece	4	74.7	81.5	– 6.8
Belgium	5	91.2	92.7	– 1.5
Luxembourg	5	87.9	87.9	0

Note: Turnout is the average for all elections held since the country's first European Parliament election.

Source: Figures supplied from the International IDEA Voter Turnout database.

Figure 2.5: Influences on Turnout in Elections to the European Parliament, 1979–99 (UK 1979–1994)

Results of a multiple regression analysis explaining 65.4% of the variance in turnout in 63 national European Parliament elections, 1979–99.

	b	Beta ^a
Compulsory voting	22.6	.50
Proportional representation	13.0	.29
Election day a rest day	10.5	.27
Duration of EU membership (years) ^b	5.0	.27
Govt. expenditure as % of GDP	– 0.6	– .21
Electors per MP ('000)	not significant	
GDP per capita	not significant	

^aThe b value is the unstandardized regression coefficient; the Beta value is the standardized regression coefficient.

^bFour categories of duration of EU membership are used: (a) the six founder countries; (b) three older members, the UK, Ireland and Denmark; (c) three newer members, Spain, Portugal and Greece; and (d) the three newest members, Sweden, Finland and Austria.

Source: Figures supplied from the International IDEA Voter Turnout database.

parliamentary elections. In Denmark and the Netherlands the gap between the two types of election is similarly vast. On average, the gap between turnout in European Parliament elections and national elections held in the same period is 18.8 percentage points. Luxembourg is the one country that has found a way of making turnout the same: it holds its national election on the same day as the European Parliament election. However, no other European government wants to tie its hands thus, nor is there likely to be popular acceptance of allowing a five-year gap between one national election and the next in order to ensure that national elections fall on the same day as elections to the European Parliament.

As in national elections, electoral arrangements are the major influences on turnout in European Parliament contests. A multiple regression statistical analysis of turnout in elections to the European Parliament shows that the most important influence, compulsory voting, raises turnout by 22.6 per cent net of other influences. PR is second in impact, raising turnout by 13.0 per cent, and making election day a day of rest also has a double-digit impact on turnout. Habitual socialization is again important, as turnout is higher in countries that have been longest in the EU. Net of other influences, government expenditure as a percentage of GDP has a limited impact on turnout, with voting lower in high-spending countries. Per capita GDP is insignificant as an influence on whether people vote.

Political Participation as an Issue

The level of participation in elections is much higher than the proportion of the population who watch current affairs programmes on television, read newspapers which report political events in detail or are well informed about politics. Moreover, the exigencies of government require most political decisions to be taken by representative assemblies or by executive officials accountable to the parliament.

Voting is the one political activity in which a majority of adults participate. Yet turnout falls short of 100 per cent. Democratic idealists claim that everyone ought to participate in elections because it is a civic obligation. Moreover, 100 per cent participation would avoid the risk of representative assemblies not representing the whole spectrum of public opinion in a country. However, the means most suitable to approach this ideal—compulsory voting—is challenged by libertarians who emphasize that in a free society everyone has the right *not* to vote if they so choose. Only totalitarian regimes such as the Soviet Union have compelled citizens to participate in political activities.

Ironically, even where countries have or have had compulsory voting, turnout falls short of 100 per cent. For example, in the Netherlands in the 1950s an average of 5 per cent of the registered electorate did not cast a vote. In Belgium, where voting is still compulsory, almost 10 per cent of the registered electorate does not vote. Libertarian values have gained strength throughout Western Europe, and the Dutch and Austrian parliaments have repealed compulsory voting laws (see also chapter 3). In societies in which the politically indifferent have not been socialized into a sense of the civic obligation to vote, introducing compulsory voting is likely to be less successful because it conflicts with libertarian and *laissez-faire* norms. It would create very substantial problems of imposing effective penalties and could even be counter-productive if each election produced massive evidence of 'scoff law' non-voters going unpunished—or, if forced to vote, registering support for extremist parties or frivolous parties of Beer Drinkers or the Right Not To Vote.

While compulsion is politically unpopular today, national parliaments can take measures to encourage non-voters to come to the polls. Holding elections on a day when the great majority of the population is not working does increase turnout. In a secular era when people can usually do what they want on the Sabbath, religious objections to Sunday voting carry little weight. There are a number of EU member countries where changing an election date from a weekday to the weekend would produce little opposition.

Making it easier for individuals to cast an absentee vote can, in principle, increase turnout. This can be done in

various ways, for example, allowing people to vote by post, in person at a different polling station than that for their normal home address, or by email or telephone. But each of these measures requires safeguards against fraud. If postal votes are mailed out but there is no means of verifying the identity of the persons who use them, they can be cast by 'ghost' voters. If people vote away from home, they must have a positive means of identification, which does not exist in the UK, where there is principled opposition to requiring every citizen to have a national identity card. An email or telephone vote invites impersonation and subsequent controversy when people find that their names have been falsely invoked by an unknown caller from a pay phone or an Internet café. The introduction of safeguards, such as registering a password for an email vote, would reduce the risk of fraud but would also make absentee voting more difficult.

Arguably, declining electoral turnout is a rational response of citizens to the fact that elections make less difference to the way in which a country is governed, as party competition no longer reflects a *kulturkampf* or class conflict, and parties that are nominally on the left and the right have tended to converge towards the centre in pursuit of votes. Moreover, when the government is accountable to a parliament that is elected by proportional representation, elections may determine the relative strength of parties in parliament but it is inter-party bargaining between political elites that determines who actually governs.

Although elections may be declining in popular concern, the impact of government on the lives of citizens has been steadily rising, as is shown by the growth in public expenditure to two-fifths or more of GDP across the EU as a whole. The money collected is not burned but spent on public services such as education, health care, social security, roads and rubbish collection. The average European household regularly enjoys at least two such benefits. In addition, citizens look to government to prevent unsafe vehicles from being driven on the road, to protect them against bank and commercial fraud and against impure food, and much more.

The growth of government has led to the growth of single-issue non-governmental organizations (NGOs). Some, such as the anti-abortion 'right to life' campaigners and those wanting to give more aid to Third World countries, advocate a moral cause. Others, such as trade unions and business associations, exist to promote material interests. Individual membership of NGOs is invariably a small or even infinitesimal percentage of the national electorate. A 'mass' demonstration of 25,000 people appears big on a television screen, but will constitute far less than 1 per cent of a European country's electorate. The percentage

Part I: Current Issues in Voter Turnout

of citizens who are active in NGOs may be no more than the minority who were privileged to vote in elections when the right to vote was undemocratically restrictive. Yet the 'failure' of the majority to participate in mass demonstrations and counter-demonstrations does not annul the right of people to become active in NGOs lobbying government.

Corporatism, by which business, labour, agricultural and professional organizations bargain, provides virtual representation of individuals. But corporatist NGOs consist of organizations rather than individuals. For example, business associations are made up of firms and 'peak' associations such as the British Trades Union Congress have other organizations as their members. In Brussels, where thousands of organizations advocate causes to the EU, the typical NGO consists of the aggregation of national NGOs—a further remove from individual participation.

Problems of representation are even greater at the level of the United Nations, since the principle of 'one country, one vote' gives equal representation to countries such as India and Iceland, even though there is more than a thousandfold difference in population between them. At the International Monetary Fund, representation is not based on national sovereignty on an equal basis or weighted by population but determined by the amount of money that countries contribute to the fund.

Endnotes

¹ In these eight countries there is a statistically significant downward trend in a least squares regression line of turnout over the period within a country and the variance in turnout explained (R^2) by the passage of time is greater than 25 per cent. However, the 'fit' varies substantially between an R^2 of 95 per cent in Portugal and 28 per cent in the UK. Moreover, the trend fall in turnout from one election to another varies too. In Portugal there is a trend fall of 3.1 per cent in turnout from one election to the next while in Ireland there is a trend decrease of only 0.43 per cent between elections.

² The calculation of impact makes use of the unstandardized coefficients (b values) reported in figure 2.3, which are for either/or variables for proportional representation, compulsory voting and rest day; a tripartite classification, as explained in the note to figure 2.5, for duration of democracy; and a continuous variable for number of electors per representative.

Elections remain important because they are an effective way of giving those who govern a country incentives to take popular interests into account by the sanction of removing from office governors who fail to do so. But elections are not all-important, for the complexity of interest articulation and aggregation in the multi-level world of local, national, European and global politics imposes constraints on what popularly elected governments can do. Similarly, the existence of competing values—civic participation, individual liberty, facilitating voting, and protecting against fraud—places constraints on what can be done to increase turnout at national elections.

References and Further Reading

Blais, André and Dobrzynska, Agnieszka, 'Turnout in Electoral Democracies', *European Journal of Political Research*, 33/2 (1998), pp. 239–61

Franklin, Mark N., 'The Dynamic of Electoral Participation', in Lawrence LeDuc, Richard G. Niemi and Pippa Norris (eds), *Comparing Democracies 2: New Challenges in the Study of Elections and Voting* (London and Thousand Oaks, Calif.: Sage Publications, 2002), pp. 148–68

Lijphart, Arend, 'Turnout', in Richard Rose (ed.), *International Encyclopedia of Elections* (Washington, DC: CQ Press, 2000), pp. 314–22

– 'Unequal Participation: Democracy's Unresolved Dilemma', *American Political Science Review*, 91/1 (March 1997), pp. 1–14

Rose, Richard, 'Evaluating Election Turnout', in *Voter Turnout from 1945 to 1997: a Global Report on Political Participation* (Stockholm: International IDEA, 1997), pp. 35–46

– Munro, Neil, *Elections and Parties in New European Democracies* (Washington, DC: CQ Press, 2003)

3. Compulsory Voting in Western Europe

Maria Gratschew

Duty, Right or Privilege?

Is voting a citizen's right or a civic obligation? All democratic governments consider voting in national elections a right of citizenship. Some regard voting in elections as a citizen's civic responsibility, perhaps even a duty. In some of those countries where voting is regarded as a duty, it has been made compulsory to vote, and sanctions are imposed on non-voters in several European countries.

European countries were among the first to grant women the right to vote, and several of them were also among the first to introduce compulsory voting. The process of extending the franchise to men had been less controversial in most countries, but in several instances the right to vote was combined with an obligation to participate and vote in elections.

Compulsory voting is not a new concept. Liechtenstein (1862), Belgium (1893), Argentina (1914), Luxembourg (1919) and Australia (1924) were among the first countries in the world to introduce compulsory voting laws. There are also examples of countries that have had compulsory voting at some time in their history but have since abolished it: for instance, Venezuela had compulsory voting until the mid-1990s and in Europe the Netherlands had compulsory voting until 1967. The first election held there without the practice of compulsory

Part I: Current Issues in Voter Turnout

voting was that of 1971. Australia is usually brought up as an example of a country that practises compulsory voting. There, the existence and practice of compulsory voting are still controversial. It may come as a surprise to many that currently six countries in Western Europe retain compulsory voting laws (Belgium, Cyprus, Greece, Liechtenstein, Luxembourg and Switzerland) and three more (Austria, Italy and the Netherlands) had such laws in the past but have since abolished compulsory voting or are in the process of doing so. However, only a few of the countries in Western Europe enforce this obligation in practice.

Impact on Voter Turnout

Approximately 30 countries in the world today have regulations that make voting compulsory in their constitutions or electoral laws. Most of them are in Latin America or Western Europe, but there are a few examples from Asia as well (e.g. Thailand and Singapore). However, any figure for the exact number of countries that practise compulsory voting would be misleading. The simple presence or absence of compulsory voting laws in itself is far too simplistic a measure. It is more constructive to analyse compulsory voting as a spectrum, ranging from the existence of a symbolic but basically impotent law to a system that systematically follows up each and every non-voting citizen and implements sanctions against them.

From the perspective of a voter, if voting is compulsory and sanctions are imposed on non-voters, the rational decision is to vote in elections in order to avoid sanctions. With this reasoning in mind, it comes as no surprise that turnout is usually higher in countries where compulsory voting is practised and enforced. Comparisons of the impact compulsory voting has on turnout show that it is approximately 10–15 per cent higher in countries that have compulsory voting and enforce it (Gratschew and López Pintor 2002: 108, 110).

This spectrum reflects the fact that some countries have compulsory voting laws but do not, and have no intention to, enforce them. There are a variety of reasons for this. Not all laws are created to be enforced. Some are passed merely to state the government's position regarding what the citizen's responsibility should be. Compulsory voting laws that do not include sanctions may fall into this category. In fact the law may have some effect on the citizens, even if a government may not enforce it or even have formal sanctions in law for failure to vote. For example, in Austria voting was compulsory in two regions until recently, and sanctions were only weakly enforced, but these regions had a higher average turnout than the national average.

Other possible reasons for not enforcing the laws could

be their complexity and the resources needed to enforce them. Countries with limited budgets may not make the enforcement of compulsory voting laws a high priority but still hope that the existence of the law will encourage citizens to participate. The cost of enforcement may lead some electoral administrations to lower their standards of enforcement.

An examination of the best ways of estimating the level of enforcement of compulsory voting laws and sanctions in a country is relevant here. What information is needed in order to measure whether enforcement is strict or relaxed? Information provided by election (or other) authorities might reflect the intentions but not necessarily the situation in practice. The number of cases of failure to vote that have been followed up after an election or the number of cases taken to court would presumably be the best measures to use. Unless all or at least nearly all cases of failure to vote are followed up after an election, the system can hardly be deemed to be one of strict enforcement.

Can a country be considered to practise compulsory voting if the compulsory voting laws are ignored and irrelevant to the voting habits of the electorate? Is a country practising compulsory voting if there are no penalties for not voting? What if there are penalties for failing to vote but they are never or hardly ever enforced? Or if the penalty is negligible? Many countries offer loopholes, intentionally and otherwise, which allow non-voters to go unpunished. For example, in some countries only registered voters are required to vote but it is not compulsory to register. People might then have incentives not to register.

The diverse forms compulsory voting has taken in different countries suggest that our perception of it should be refocused away from assessing it as a practice that is either present or absent and towards studying the degree to which and the manner in which the government forces its citizens to participate.

Figure 3.1 shows a ranking list of average voter turnout among the countries included in this report. The first four, at the top of the list, have or have had some element of compulsory voting. These are Belgium, Austria, Italy and Luxembourg. The Netherlands, which had compulsory voting until 1967, comes seventh on this ranking list. Switzerland, where only one canton out of 26 practises compulsory voting, is at the very bottom of the list. The country has attracted some attention because of its low turnout and frequent referendums; however, in the canton of Schaffhausen, where compulsory voting is practised, turnout is higher than in other cantons. The two most recent parliamentary elections in Switzerland, in 1999 and 2003, show a much higher voter turnout in Schaffhausen than in the other cantons, and average

Voter Turnout in Western Europe

Figure 3.1: Voter Turnout at National Parliamentary Elections in Western Europe and the Practice of Compulsory Voting

Country (no. of elections) since 1945	Votes cast as % of no. of electors registered****	Compulsory voting practised since
Belgium (19)	92.5	1893–
Austria (18)	90.9	1949–1979*
Italy (15)	89.8	1940s**
Luxembourg (12)	89.7	1919–
Iceland (17)	89.5	–
Malta (14)	88.2	–
Netherlands (18)	86.6	1917–1967
Denmark (23)	86.0	–
Sweden (18)	85.7	–
Germany (15)	85.0	–
Western Europe (overall 297)	82.1	
Norway (15)	80.4	–
Greece (16)	79.9	1952–
Spain (8)	75.7	–
Finland (17)	75.6	–
United Kingdom (16)	75.2	–
France (16)	74.8	–
Portugal (11)	73.6	–
Ireland (16)	72.6	–
Switzerland (14)	56.6	–***

* Compulsory voting has been practised in the regions of Vorarlberg and Tirol until 2004.

** Sanctions are not enforced.

*** Practised in one canton only, Schaffhausen.

**** This column shows the average turnout at parliamentary elections since 1945.

On the impact of compulsory voting on turnout, see also chapter 2 and figure 2.3.

Source: International IDEA Voter Turnout database.

turnout there is well above the country average. The country average was 43 per cent in 1999 and 45 per cent in 2003, while turnout in Schaffhausen was 62 and 63 per cent in 1999 and 2003, respectively. In one or two other cantons turnout is up to 50–53 per cent, but this is still much lower than in Schaffhausen. A similar pattern can be seen in Austria where the two regions that practised compulsory voting until recently normally had a higher turnout at elections. Average turnout in the small country of Liechtenstein is also very high and if it were included it would join the four countries at the top of the list in figure 3.1.

For and Against

Advocates of compulsory voting argue that decisions made by democratically elected governments are more legitimate when higher proportions of the population

participate. This argument is often adduced in societies where compulsory voting could be particularly effective in making traditionally marginalized groups participate. Advocates of compulsory voting argue further that voting, voluntarily or otherwise, has an educational effect on citizens. Political parties can save money from compulsory voting, since they do not have to spend resources convincing the electorate that it should in general turn out to vote. Finally, if democracy is government by the people—and this presumably includes all the people—then it is every citizen's responsibility to elect his or her representatives.

The leading argument against compulsory voting is that it is not consistent with the freedom associated with democracy. Voting is not an intrinsic obligation and the enforcement of such a law would be an infringement of the citizens' freedom associated with democratic elections.

Part I: Current Issues in Voter Turnout

Austria

Compulsory voting is regulated by federal law in Austria. However, the regions of the country have been able to decide whether they would like this particular part of the law to apply to them or not since 1979. Compulsory voting was introduced with a new law in 1949, and applied to all the regions at that time, although provision for it had been made earlier in the electoral law of 1919 in the region of Vorarlberg and in an article in the electoral law of 1923. Compulsory voting applies to all elections to the regional parliament as well as local elections. After the Second World War it was compulsory to vote in presidential elections. The first election of a president by the people took place in 1951. (Previously the president had been elected by the two chambers of Parliament according to the constitution of the time.)

By the early or mid-1990s all the regions of the country except two, Vorarlberg and Tirol in the extreme west, had abolished compulsory voting. These two regions are set to do so in 2004. While compulsory voting remained, non-voters had the opportunity to explain their abstention—most often the explanation was accepted. If it was not, fines could be applied as a penalty for not voting. The fines were fairly high but in practice usually lower than what the law specifies. The law provided for fines of up to 700 EUR (c. 768 USD as of 10 September 2003) but in practice the amount imposed was usually less than 50 EUR (c. 55 USD as of 10 September 2003). The provisions for compulsory voting was made in the regional laws of Vorarlberg and Tirol.

Belgium

Belgium, so far as is known, was the first country in the world to introduce compulsory voting on the national level. This happened in 1893, long before universal suffrage was introduced. Compulsory voting was introduced to avoid upper-class citizens putting pressure on uneducated or poor citizens not to vote in the elections. It applies to all elections, national and municipal as well as elections for the European Parliament. A non-voter has the opportunity to explain his or her abstention and if the reason for not voting is accepted the case is not taken any further. If it is not accepted the non-voter faces a fine of 5–10 EUR for the first offence (c. 5–10 USD as of 10 September 2003). The fine for a second offence is higher, between 10 and 25 EUR, and if a voter fails to vote four or more times within a period of 15 years he or she is excluded from the electoral register and disenfranchised for ten years. If the non-voter is a civil servant, another sanction applies as well: he or she is disqualified from promotion.

Cyprus

Voting has been compulsory in Cyprus since 1959, according to the Electoral Law of that year. Voting is compulsory, at parliamentary and

presidential elections only, for all voters between the ages of 18 and 60. Non-voters have the opportunity to explain the reasons why they did not vote in the election before a decision on possible sanctions is taken and do not face sanctions if the reasons are judged to be valid. If they are not, the non-voter will face sanctions in the form of fines. The fine imposed by the court may not exceed 500 CYP (c. 931 USD as of 10 September 2003). The Electoral Law is under revision and the practice of compulsory voting is to be discussed.

Greece

Compulsory voting was introduced in the Greek Constitution for the first time in 1952, although it was not introduced by specific wording until 1975. The initiative came from the political parties of the time and was intended to prevent voters abstaining and enhance in practice the principle of universal suffrage. Compulsory voting applies to all elections in Greece, including elections to the European Parliament. Voters above the age of 70 and those who are not mobile because of infirmity are exempted, as are those who are more than 200 km from their assigned polling station on election day. A non-voter has the opportunity to explain his/her abstention, but if the reason given is not accepted by the authorities he or she can face quite severe sanctions, such as imprisonment for up to one month, under the present legislation. Under the old electoral law, which is no longer in force, one possible sanction against non-voters involved restrictions on obtaining a passport or driver's licence. In practice today, however, the compulsory voting rules are of a mainly symbolic character and sanctions are not often applied against non-voters.

Italy

Immediately after the Second World War a new electoral system and electoral law were introduced. Compulsory voting was introduced as part of this electoral law and remained in the electoral law for almost 50 years. Fascism had collapsed and a referendum was called to choose whether Italy should be a monarchy or republic. The monarchists had argued strongly in favour of the introduction of compulsory voting and hoped to win the referendum by ensuring broad participation. It was compulsory to vote at all elections. The sanctions that applied were similar to those applied in Belgium today, that is, a voter who had abstained for several consecutive elections would be temporarily suspended from voting. In addition, the sanctions involved a non-voter being unable to obtain employment as a civil servant or run for any public office. Voter turnout has been quite high throughout the years in Italy and sanctions have seldom been imposed on the small proportion of voters who abstained from voting, despite the provision for sanctions in the law. Compulsory voting has been a controversial issue for many years. Those who have argued against it have been mainly the liberal parties. Finally, in the early 1990s, with the country having had more than 55 governments in less than 50 years, all political forces agreed on the need for major reforms in the electoral law. A new electoral law was introduced in 1993 after being accepted by

Voter Turnout in Western Europe

a national referendum. At present the law says that voting is a right and a duty, without using the word 'compulsory'.

Liechtenstein

Liechtenstein introduced compulsory voting early. It has been practised continuously since 1922 and is an integral part of the electoral law of the same year. According to the Government Chancellery it was practised even earlier, possibly as early as 1862, and according to popular memory it has 'always' been the tradition. During the 19th century, the law only applied to men above the age of 24. (Interestingly, as a contrast, Liechtenstein was among the last countries in the world to grant women the right to vote: this was done as late as 1984.) Those who stayed away from voting without giving an approved reason were liable to a fine of 1 Guilder, which for some might have been a large sum of money at the time. Even in the 1950s and 1960s the municipality police imposed fines on those who had failed to vote, but this old tradition slowly died out once local councils realized that the cost of enforcing this law exceeded total receipts from the fines. The present law that regulates compulsory voting is from 1973. Compulsory voting applies to all elections and referendums in Liechtenstein. Non-voters may be fined if they have not given an approved reason for not voting. The fine does not exceed CHF 20 (c. USD 14 as of 10 September 2003) and this sanction is rarely enforced in practice.

Luxembourg

A small country, Luxembourg introduced compulsory voting very early, in 1919, and during the same year women were granted the right to vote. Voting is compulsory for elections to the Chamber of Deputies and the European Parliament, and municipal elections. People above the age of 70 and those who are abroad on election day may be exempted from the obligation to vote if they are able to prove this. The electoral law states that a non-voter will be punished by fines on the first occasion he/she fails to vote. Following a second offence, if it is within six years of the first, a larger fine is imposed. The fines range from 99 to 991 EUR (c. 108–1087 USD as of 10 September 2003). In practice, a non-voter usually only receives a warning after the first offence, but if it is repeated the case may be taken to court for further decision.

The Netherlands

With the constitutional change in 1917 which also introduced universal suffrage (for men; women were granted the right to vote in 1919) and proportional representation (PR), compulsory voting was introduced. There were two main reasons for introducing it: (a) the act of voting is a task that serves the public interest and not one's personal interest, and a public right was regarded a public duty in this context; and (b) the newly introduced PR system required a 100 per cent turnout for the election results to be truly proportional. It is worth mentioning that the term 'compulsory voting' was not at first used in the Netherlands, but 'compulsory turnout' was. Compulsory voting applied to all elections. While it existed in the Netherlands, however, it was a much-debated issue and was amended many times.

In 1945 an opportunity to abolish compulsory voting occurred when there was a vote in Parliament on the practice. The groups in favour of keeping it won by one vote, and it was not abolished until 1967 after recommendations made by a committee appointed by the government. A number of theoretical as well as practical arguments were put forward by the committee: for example, the right to vote is each citizen's individual right which he or she should be free to exercise or not; it is difficult to enforce sanctions against non-voters effectively; and party politics might be livelier if the parties had to attract the voters' attention, so that voter turnout would therefore reflect actual participation and interest in politics. The parliamentary election of 1971 was the first to be held without compulsory voting since its introduction.

Switzerland

Compulsory voting is practised in only one out of 26 cantons—the German-speaking northern canton of Schaffhausen, which has practised it for almost 100 years, since 1904. Compulsory voting applied to all elections. The sanction for failure to vote is the same today as it was when the law on compulsory voting was introduced—a fine of 3 CHF (c. 2 USD as of 10 September 2003), which was perhaps a considerable amount 100 years ago but today represents a fairly small share of an average Swiss salary. Other cantons, such as Zürich and Aargau, have also had compulsory voting in the past. Women were granted the right to vote only in 1971, which means that when compulsory voting was introduced it only applied to male voters. By 1971 compulsory voting had been abolished in all cantons except Schaffhausen.

Part I: Current Issues in Voter Turnout

Figure 3.2: Sanctions for Failure to Vote

Country	Type of sanction
Austria	Explanation by non-voter but thereafter fines
Belgium	Explanation by non-voter, thereafter fines or disenfranchisement
Cyprus	Explanation by the non-voter, thereafter fines
Greece	Explanation by the non-voter, thereafter imprisonment. Earlier other sanctions (see above)
Italy	Currently none
Liechtenstein	Explanation by the non-voter, thereafter fines
Luxembourg	Explanation by the non-voter, thereafter warning and/or fines
Netherlands	Currently none
Switzerland	Explanation by the non-voter, thereafter fines

It may discourage the political education of the electorate because people who are forced to participate will react against the perceived coercion. Is a government really more legitimate if the high voter turnout is achieved against the will of the voters? To achieve a high voter turnout by using compulsory voting is perhaps easier than ensuring quality in participation. Opponents of compulsory voting argue that the optimal participation is that which is based on the voters' own will to participate in choosing their representatives. Many countries with limited financial capacity may not be able to justify the cost of maintaining and enforcing compulsory voting laws. It has also been proved that forcing the population to vote results in an increased number of invalid and blank votes compared to countries that have no compulsory voting laws (Puplick and McGuinness 1998).

Another consequence of compulsory voting is the possible high number of 'random votes'. Voters who are voting against their free will may tick off a name at random, particularly the name at the top of the ballot paper. The voter does not care for whom he or she votes as long as the government is satisfied that they have fulfilled their civic duty. What effect does this unmeasurable category of random votes have on the legitimacy of the democratically elected government?

Despite the fact that six countries in Western Europe have compulsory voting today, it seems that the level of enforcement of these laws is lower than it used to be. Figure 3.2 lists all these countries and the sanctions they apply. We already know that two countries, the Netherlands and Italy, have abolished compulsory voting,

and several countries have gone from enforcing the compulsory voting laws strictly to not enforcing them very strictly, for example, Greece and Liechtenstein. Is compulsory voting a dying phenomenon in Western Europe? Perhaps in a few years it will only be kept as a 'ghost' in countries' constitutions, without any intention to enforce it. If turnout continues to decline—in Europe in general and at elections to the European Parliament in particular—and if politics alone does not succeed in making voting interesting enough, will the introduction of compulsory voting be considered? Or will more countries in the region adopt the practice on a national level if voter turnouts decline?

In most of the European countries where it is found, compulsory voting was introduced 50 years ago or even earlier, in the political systems of the time. Perhaps it is because of its long history that it is commonly accepted or tolerated in the countries that still practise it today. To introduce it in today's European democracies might be more controversial than practising it where it already exists. One example of the resistance compulsory voting could face is the reaction in Sweden in 1999 when the Minister for Democracy, on being asked a question on the subject, mentioned compulsory voting as a means of increasing turnout or keeping it high (*Svenska dagbladet* 29 July 1999, 9 August 1999; and *Borås tidning* 18 August 1999). It is important to note that, even though the minister did not suggest that compulsory voting should be introduced, but merely referred to the high turnout shown in countries that practise it, the media, political scientists and politicians rejected the idea quickly and in strong terms in a heated debate.

At the present stage it is impossible to tell which direction the phenomenon and practice of compulsory voting will take in Western Europe, since some countries aim to enforce it strictly and others do not, for different reasons of principle—political, economic, social or other.

References and Further Reading

- Administration and Cost of Elections (ACE) Project, <<http://www.aceproject.org>>
- Austrian Ministry of Interior, Electoral Office, <<http://www.bmi.gv.at>>
- Belgian Ministry of Interior, <<http://www.belgium.be/eportal/index.jsp>>
- Blais, André, Dobrzynska, Agnieszka and Massicotte, Louis, *Why is Turnout Higher in Some Countries than in Others?* (Elections Canada, 2003, <<http://www.elections.canada.ca>>)
- Borås tidning*, 18 August 1999, 'Demokratins blodomlopp: i modern forskning om valdeltagandet har man kunnat konstatera att det i stor utsträckning är valens viktighet som bestämmer hur högt valdeltagandet blir' [The circulation of democracy's blood: modern research has shown that the importance of the election largely decides how high voter participation will be]
- Cyprus Ministry of Interior, Public Administration and Decentralisation, Directorate General of Development Plans, Department of Elections <<http://www.cyprus.gov.cy>>
- Gratschew, Maria and López Pintor, Rafael, 'Compulsory Voting', in *Voter Turnout from 1945 to Date: A Global Report* (Stockholm: International IDEA, 2002)
- Greek Embassy in Sweden, Press Officer, private communications
- Greek Ministry of Interior, <<http://www.ypes.gr>>
- Hirczy, Wolfgang, 'The Impact of Mandatory Voting Laws on Turnout: A Quasi-Experimental Approach', *Electoral Studies*, 13 (1994), pp. 64–76
- Italian Ministry of the Interior, <<http://www.interno.it>>
- leDuc, Lawrence, Niemi, Richard G. and Norris, Pippa (eds), *Comparing Democracies: Elections and Voting in Global Perspectives* (Thousand Oaks, Calif.: Sage, 1996)
- Liechtenstein Government Chancellery, <<http://www.liechtenstein.li>>
- Lijphart, Arend, 'Unequal Participation: Democracy's Unresolved Dilemma', *American Political Science Review*, 91/1 (March 1997)
- Luxembourg Chamber of Deputies, <<http://www.chd.lu/default.jsp>>
- Major, Shaun, *To Vote or Not to Vote? Compulsory Voting in Australia* (Western Australian Electoral Commission, 1995)
- Netherlands Ministry of Interior and Kingdom Relations, National Election Board, <<http://www.minbzk.nl>>
- Puplick, C. and McGuinness, P., 'The Pros and Cons of Compulsory Voting', *Elections Today*, 7/3 (1998)
- Svenska dagbladet*, 29 July 1999, 'Låt oss slippa röstplikt' [Let us avoid compulsory voting]
- Svenska dagbladet*, 9 August 1999, 'Röstplikt är kanske inte så dumt?' [Perhaps compulsory voting isn't such a bad idea?]
- Swiss Federal Chancellery, Section of Political Rights, <<http://www.admin.ch/ch/d/pore/index>>
- University of Florence, Department for Political Science and Sociology, <<http://www.unifi.it>>

4. Women and the Vote in Western Europe

Nina Seppälä

- *Did you know that women in Liechtenstein gained the right to vote only in 1984?*
- *Did you know that, as overall turnout is declining in Western Europe, women have become more likely to exercise their right to vote than men?*

The Right to Vote: An 80-Year Battle

European women first achieved the right to vote in 1906 in Finland, a country that was in the process of becoming independent from tsarist Russia. Women participated in the national struggle against the tsar's decision to reverse the autonomous status the country had enjoyed. This movement culminated in a parliamentary reform that extended the universal right to vote to both sexes. Soon after, before the First World War broke out, women were given the right to vote in other Nordic countries, with the exception of Sweden. The right to vote in municipal elections had often preceded the suffrage in parliamentary elections.

Figure 4.1 shows that in many countries female suffrage was achieved in the aftermath of the First World War so that by the end of 1919 women were able to vote in the majority of West European countries. In Germany, two social democratic parties formed the first post-war government and introduced the equal voting right that had been one of the issues on their political agendas. In a number of countries the right to vote was gained in two

Part I: Current Issues in Voter Turnout

Figure 4.1: When Women Gained the Suffrage

Country	The right to vote	The right to stand for election
Finland	1906	1906
Norway	1913	1907
Denmark	1915	1915
Iceland	1915	1915
Austria	1918	1918
Germany	1918	1918
Ireland	1918 (1928*)	1918
United Kingdom	1918 (1928*)	1918
Belgium	1919 (1948*)	1921
Luxembourg	1919	1919
Netherlands	1919	1917
Sweden	1919	1919
Portugal	1931 (1976*)	1931
Spain	1931	1931
France	1944	1944
Italy	1945	1945
Malta	1947	1947
Greece	1952	1952
San Marino	1959	1973
Monaco	1962	1962
Andorra	1970	1973
Switzerland	1971	1971
Liechtenstein	1984	1984

* All restrictions lifted.

Source: <<http://www.ipu.org>, www.db-decision.de/CoRe>.

stages. Belgium, Ireland and the United Kingdom initially placed restrictions on the women's vote. For example, in the UK the franchise was initially only given to married women, women householders and women university graduates aged 30 years or over.

The next countries to extend the right to vote to women were Portugal and Spain in 1931. A female doctor and a widow, Carolina Beatriz Ângelo, had already voted 20 years earlier in Portugal as the law gave the right to vote to the head of the family without defining the sex of this person. In France, women were given the right to vote in 1944 by decree of General Charles de Gaulle after the Senate had repeatedly blocked proposals aimed at enfranchising French women. Italian women acquired the right to vote in 1945 after the fall of fascism, having participated in the liberation movement.

The last West European countries to grant the vote for women were the micro-states of San Marino, Monaco, Andorra and, finally, Liechtenstein—the latter in 1984. It had taken nearly 80 years for all European women to gain

a right to vote. Some of them will soon be celebrating the centenary of women's suffrage, while others have had the vote for less than 20 years.

Gender Differences in Turnout

Only limited information is available on the differences between men and women where voter turnout is concerned because most countries do not break down figures by gender. Only Finland, Germany, Iceland, Norway and Sweden do so. The available data is thus not representative of West European countries as it is mostly provided by small Nordic countries.

The data shows that the levels of turnout between men and women differ, producing a 'gender gap'. The size of the difference varies across time and countries, but, as figure 4.2 shows, more men than women turned out to vote until the 1980s in most of the countries under comparison. However, the difference between men and women has been modest since the 1960s. Overall, the gender gap has shrunk in the post-war era and reversed since the mid-1980s so that more women than men now turn out to vote.

Possible Explanations

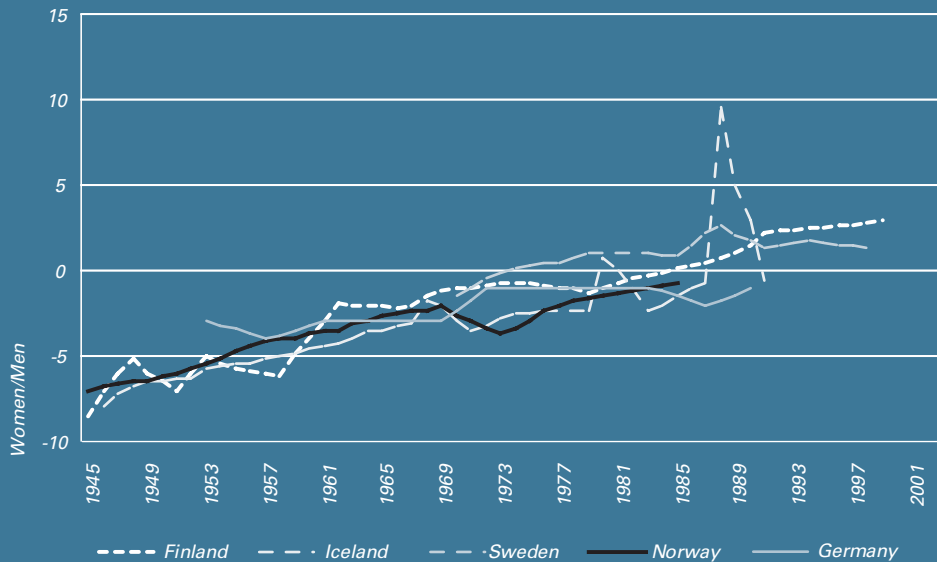
Many factors have been offered to explain gender differences in voter turnout. However, some researchers suggest that there is in fact no gender difference when the fact that women are over-represented in groups with lower levels of participation is taken into consideration (Newman and Sheth 1984). Similarly, some argue that focusing on the gender gap prevents us from understanding that it is certain groups of women, as opposed to all women, that account for the gender gap (Greenberg 1998). Nevertheless, national election surveys conducted in 19 countries worldwide show that level of education has a strong impact on the different voting behaviour as between men and women. Women at lower levels of education are considerably less likely to vote than men with the same level of education (Norris 2002). It can therefore be assumed that an improvement in women's educational level during the post-Second World War era is an important factor in explaining why women's turnout has improved. Work and socio-economic status, among other factors, are weaker explanatory factors in explaining differences in voter turnout between men and women (Norris 2002).

The Future and Implications of Women's Higher Turnout

Women in older age groups are less likely to vote than the men of their age. However, the trend is the reverse in younger age groups (Norris 2002). From this it follows that women's turnout can be expected to rise in the

Voter Turnout in Western Europe

Figure 4.2: The Gender Gap* in Voter Turnout



* The gender gap is the difference between men and women in voter turnout.

future as well as a younger generation replaces the older.

Some political parties have recognized women as a critical electoral force. For example, in the 1997 British electoral campaign, female voters were targeted by all the major parties (Hayes and McAllister 2001).

Much attention has been paid recently to the question *who* women vote for. Traditionally, women tended to vote more than men for the centre-right (Duverger 1955; and Lipset 1960). By the 1980s this tendency had weakened or reversed in many West European countries. In the Netherlands, Denmark and Italy women had become more left-wing than men, and in other West European countries they have become less conservative than they were (Inglehart and Norris 1999). Women's higher turnout, in conjunction with the trend of weakening support for conservative parties and a leaning towards the left, is having an increasing impact on the political map of Western Europe.

Call for Countries to Disaggregate Turnout Figures by Gender

International IDEA invites electoral authorities to help in collecting voter turnout data that is broken down by gender. This is important for the following reasons:

- to confirm or challenge beliefs about differences in voting behaviour between men and women;
- to provide valuable research data making it possible to

identify trends across time and countries; and

- to serve as a basis for the design, targeting and evaluation of campaigns to get people to vote.

References and Further Reading

- Duverger, Maurice, *The Political Role of Women* (Paris: UNESCO, 1955)
- Greenberg, Anna, 'Deconstructing the Gender Gap', Paper prepared for presentation at the Midwest Political Science Association Annual Meeting, Chicago, Ill., 1998, available at <<http://www.ksg.harvard.edu/prg/greenb/gengap.htm>>
- Hayes, Bernadette and McAllister, Ian, 'Women, Electoral Volatility and Political Outcomes in Britain', *European Journal of Marketing*, 35/9–10 (2001), pp. 971–83
- Inglehart, Ronald and Norris, Pippa, 'The Developmental Theory of the Gender Gap: Women and Men's Voting Behaviour in Global Perspective', revised version for the *International Political Science Review*, special issue on Women and Politics, 15 May 1999
- Lipset, Seymour Martin, *Political Man: The Social Bases of Politics* (London: Heinemann, 1960)
- Newman, Bruce I. and Sheth, Jagdish N., 'The "Gender Gap" in Voter Attitudes and Behaviour: Some Advertising Implications', *Journal of Advertising*, 13/3 (1984), pp. 4–16
- Norris, Pippa, 'Women's Power at the Ballot Box', in *Voter Turnout since 1945: A Global Report* (Stockholm: International IDEA, 2002)

5. Innovative Technology and its Impact on Electoral Processes

Tim Bittiger

The application of technology to elections and the complexity of the technology used vary from country to country. They depend on the ability of governments to finance innovations and keep them up to standard given the speed of technological development. While the most complex technology is used in Western countries, countries in transition which have large populations, such as India and Brazil, have been most successful in introducing cost-effective new technologies on an extensive scale.

A wide range of technology has been developed and introduced in elections in recent years. The Administration and Cost of Elections (ACE) Project provides the most comprehensive overview (<http://www.aceproject.org>).

Only a limited number of technical innovations are currently being used on a wide scale or have the potential to be introduced in coming years. These are:

- *electronic voting/counting systems*, specifically machine-readable (optical scanning) voting/tabulation systems and direct recording electronic (DRE) systems; and
- *remote electronic voting*, for example, via the Internet, text messages or telephone.

Electronic voting/counting has already been introduced in a wide range of elections, while remote electronic voting has so far only been used in some localized experiments and is still being tested.

Electronic Voting and Counting Systems

Only in Brazil and India have electronic voting machines (EVMs) been introduced nationwide. They are partly used in Belgium, Canada, Germany, the Netherlands and the United States. Electronic vote counting is used everywhere in Brazil and Germany; Belgium, Bosnia and Herzegovina, Canada, India, the Netherlands, Norway, Palau, Switzerland, Turkey, the United Kingdom and the United States use it only partly. (For more information see <<http://www.aceproject.org>>; and <<http://www.idea.int>>.) In the UK and other Western countries an increasing number of companies, unions and membership organizations have started to introduce electronic voting for their internal elections.

Electronic voting and counting have worked well in practice and have been generally accepted by voters. The Netherlands was among the first countries to introduce an electronic voting system on a national scale, starting in 1974. By the general election in May 2002, 95 per cent of all Dutch local authorities provided electronic voting machines (see the web site of the Netherlands Ministry of the Interior and Kingdom Relations, <<http://www.minbzk.nl>>).

Brazil has developed the most modern and sophisticated electronic voting system in the world and has proved that it can work in a country of continental size, using low-cost technology. Introduced in 1996, its electronic voting system covered all 110 million voters in 5,656 municipalities for the first time for the October 2002 elections. The majority of the Brazilian public and politicians support the reform. The United Nations Electoral Assistance Division (UNEAD) is currently examining Brazil's electronic voting system to see if it can be applied in other UN member countries (see the web site of the State Electoral Tribunal, <<http://www.tse.gov.br>>).

India is another country which faces demanding election logistics. This is mainly due to the size of the population, the number of polling stations and polling staff required, and the large number of candidates in first-past-the-post districts. India introduced EVMs in November 1998 and extended their use nation-wide in 2004. In the parliamentary elections in 1999, EVMs were used for over 60 million voters. The difficult October 2002 elections in Jammu and Kashmir were held entirely with EVMs. The Indian Election Commission ensured success through massive training and awareness campaigns. The innovations are an 'unqualified success' and have been well received by parties, candidates and staff, according to the Indian Government. More than 95 per cent of the voters welcomed the use of EVMs in 1999 (Centre for the Study of Developing Societies 1999).

Electronic voting and counting systems have now been tried and tested in a number of countries and it can be

argued that the advantages of introducing such technology generally outweigh the disadvantages.

Above all, electronic systems can help to overcome logistical challenges in election processes. They leave elections less vulnerable to poor management and ensure correct reporting of the results and prompt returns. This is especially true for countries that are geographically large and have a large voting population. Brazil and India have shown that it is possible to use new technology on a large scale to process election results very fast. In the October 2002 elections in Brazil, some 360,000 kiosk-style electronic machines were operated by 2 million staff, who tallied the results electronically within minutes after the polls closed. Data was transferred on secure diskettes or via satellite telephone to central tallying stations. These in turn transmitted data electronically over secure lines to tabulating machines in the capital, Brasilia, where the results were calculated within hours. During the same elections, only 1 per cent of polling stations had problems with the new technology.

In the United States, recent studies such as the Caltech-MIT Voting Technology Project found that optical scanning had yielded the best results in US elections between 1989 and 2000. The project recommends that the US states replace punch cards, lever machines and older electronic machines with optical scanned ballot systems and tested electronic voting systems (California Institute of Technology and Massachusetts Institute of Technology 2001).

Electronic systems also serve the voter by making the polling process easier and more transparent because they have a number of user-friendly features. In Brazil, for example, the system displays each candidate's photograph, gives voters the possibility to cast their vote in an electoral district other than the assigned one, and is easy for illiterate and visually impaired voters to use.

This said, there is a discussion about the security of electronic voting and counting systems. Critics of the recent Brazilian elections have pointed out the risks for data storage. They argue that machines can fail to produce results at the end of the day and that the fully digitized system is lacking a back-up in the shape of physical records. However, the experiences of most countries have shown that data storage is usually reliable and accurate.

Some doubt also remains about the scope for controlling and protecting electronic systems against fraud. The Caltech-MIT report warns about risks such as a loss of openness, the presence of 'many eyes' observing, the risk that control over an automated process can be abused, the lack of true auditability, and the lack of public control, but it goes on to say that there are technical solutions for such problems.

There could also be negative implications if an electronic system is not used throughout a whole country or a whole electoral area, or if it is not standardized. In the Netherlands, including Amsterdam, the largest city, some municipalities have not been able to find sufficient funds to automate their elections. This has the effect that results for some electoral districts come in later than others'. Some experts suggest that reform of election technology in the United States can only be successful if state legislation is standardized nationwide, allowing a more streamlined voting system.

Remote Electronic Voting

Remote electronic voting could be the next major innovation in the electoral process but is still in the test phase. This includes voting via the Internet, text messaging (SMS) and the telephone. There is considerable discussion about how to guarantee system security.

A number of research projects are analysing these issues, including:

- *True-Vote*, which is testing public key cryptography as a security feature (<<http://www.true-vote.net/HTML/project.html>>);
- *CyberVote*, which is analysing Internet voting via personal and palm computers and mobile telephones (<<http://www.eucybervote.org>>);
- *E-Poll*, which is examining the legal and security issues of e-voting (<<http://www.e-poll-project.net/objectives>>); and
- *the RTD* (Framework Programme for Research and Technological Development and Demonstration) Project, which is managed by the Information Society Directorate-General of the European Commission and is currently testing web-based voting systems for large-scale elections (<<http://www.sics.se/arc/evote.html>>).

Some countries are already testing remote electronic voting in official elections, including elections for trade unions and other public institutions. Germany aims to introduce Internet voting in 2006 but live tests have already been launched by the University of Osnabrück, where the official election to the student parliament in 2000 was conducted entirely over the Internet (see the project overview at <<http://www.internetwahlen.de>>).

The United Kingdom implemented the most diverse tests of a wide range of new technologies in electoral pilot schemes at the local elections held in England on 2 May 2002. Several innovations were tested in 30 municipalities. They aimed at increasing voter participation, introducing new voting methods, improving the efficiency of vote counting and providing better voter information.

The technologies included remote electronic voting from any computer, voting via touch-screen kiosks or personal computers in polling stations or other public areas such as libraries and shopping centres, and voting using the Internet, text messaging or the telephone (see the web site of the UK Electoral Commission, <<http://www.electoralcommission.gov.uk>>; and chapter 6).

Another set of substantial tests for remote electronic voting is under way in the Netherlands where the government is conducting a Remote E-voting Project aimed at giving voters several options in order to make voting less dependent on particular locations. The project aims to test and develop digital technology such as electronic identity cards in the June 2004 elections, which would allow voters to vote anywhere in their municipality (for details, see <<http://www.minbzb.nl>>, and the pre-assessment report by the University of Twente—Arts, Leenes and Svensson 2001).

Switzerland launched an experiment in Geneva in 2003 and expects to repeat it elsewhere. Australia, Canada, Estonia, Iceland, New Zealand and Romania are considering remote electronic voting as an option in all or some of their elections but not all have made concrete plans (Arts, Leenes and Svensson 2001).

There is a substantial debate about the feasibility of Internet voting. Most experts argue that its introduction will greatly facilitate polling and that its adoption is a matter of course in the face of current technological developments and voter expectations. The UK Electoral Commission reports that the technical innovations in the May 2002 elections were well received by voters, who found electronic voting 'easy, convenient and quick to use' (UK Electoral Commission 2002). The project also had support from election staff and candidates. However, there are serious questions about the security of such a system, and a great deal of research is centring on this issue. The Caltech-MIT report argues that Internet voting 'poses serious security risks' because individuals such as hackers are able to interfere in election processes, with serious implications (California Institute of Technology and Massachusetts Institute of Technology 2001).

Some experts believe that security issues are more a perceived than a real threat and recommend that public confidence be built actively. The True-Vote project named trust in the Internet as the main problem with remote electronic voting, particularly confidence in the protection of personal information and assurance of the identity of the party the voter deals with during the online transaction. Similarly, the UK Electoral Commission argues that for electronic voting in general 'the central issue is not security per se, but voter confidence'. Although the commission has not observed any negative impact of its 2002 Internet voting pilots, it believes that

Part I: Current Issues in Voter Turnout

public concern about the possibility of fraud could reduce trust in the process, and argues that it is necessary to develop technical criteria and inform voters in order to provide reassurance. It holds that a remote electronic system could even increase the security of elections (e.g. through voter identification) and enhance accessibility (e.g. by providing online voter information in minority languages).

Suppliers of New Innovations

A number of private firms are involved in inventing, developing, manufacturing, marketing and maintaining

voting equipment and election supplies. Most are based in the United States and the UK, and to a lesser extent in Australia, Canada and the European Union countries.

There are several web-based resources with information on vendors and their services. The most comprehensive is the International Foundation for Election Systems' *IFES Buyer's Guide* (<<http://www.ifesbuyersguide.org>>), which provides up-to-date and impartial information on market developments. The Centre for Voting and Democracy publishes a citizen's guide to voting equipment, including information and analyses (<<http://www.fairvote.org>>).

References and Further Reading

Administration and Cost of Elections (ACE) Project, <<http://www.aceproject.org>>

Arts, Kees, Leenes, Ronald and Svensson, Jörgen, *Kiezen op Afstand Monitor. Rapport Vooronderzoek* [Remote voting monitor: pre-assessment report] (Enschede: Twente Research Institute for ICT in the Public Sector, 13 February 2001)

Brazilian State Electoral Tribunal, <<http://www1.tse.gov.br>>

California Institute of Technology and Massachusetts Institute of Technology, Caltech-MIT/Voting Technology Project, *Voting: What Is, What Could Be* (Boston, Mass. and Pasadena, Calif.: California Institute of Technology and Massachusetts Institute of Technology, July 2001)

Centre for the Study of Developing Societies, New Delhi, 1999, available on the web site of the Election Commission of India, <<http://www.eci.gov.in>>

Centre for Voting and Democracy, *Citizen's Guide to Voting Equipment*, <<http://www.fairvote.org>>

CyberVote project, <<http://www.eucybervote.org>>

E-Poll project, <<http://www.e-poll-project.net/objectives>>

Election Reform Information Project, *Election Reform since November 2001: What's Changed, What Hasn't, and Why* (Washington, DC, October 2002)

Indian Election Commission, 'Schedule for General Election to the Legislative Assembly of Jammu and Kashmir', Press Note no. ECI/PN/32/MCPS/2002, 2 August 2002

Indian Embassy, Washington DC, 'The Use of Electronic Voting Machines during General Elections, 1999', Press Release, 25 June 1999

International Foundation for Election Systems (IFES), *IFES Buyer's Guide*, <<http://www.ifesbuyersguide.org>>

International IDEA, <<http://www.idea.int>>

Netherlands Ministry of the Interior and Kingdom Relations, <<http://www.minbzk.nl>>

RTD project, <<http://www.sics.se/cna/projects.html>>

True-Vote project, <http://www.true-vote.net/HTML/project.html>

Forschungsgruppe Internetwahlen (Research Group Internet Voting), 'i-Vote Report: Chancen, Möglichkeiten und Gefahren der Internetwahl' [Opportunities, possibilities and challenges of Internet voting], Osnabrück, 2002, <<http://www.internetwahlen.de>>

UK Electoral Commission, *Modernising Elections: A Strategic Evaluation of the 2002 Electoral Pilot Schemes* (London, 2002), <<http://www.electoralcommission.gov.uk>>

6. Will New Technology Boost Turnout? Experiments in e-Voting and All-Postal Voting in British Local Elections

Pippa Norris*

Proponents argue that the use of remote electronic voting (e-voting) could boost electoral participation, particularly among the young. Pilot schemes carried out among over 6 million people in local elections in the UK suggest that these claims should be regarded with considerable scepticism. Remote e-voting only slightly strengthened turnout among the young—the group least interested in participating. By contrast, all-postal voting boosted turnout among older citizens—the group who are least able to get out to polling stations and the most motivated to take advantage of this reform—by an estimated 18 per cent.

As access to the new communication and information technologies has diffused throughout post-industrial societies, the idea of using electronic tools to modernize the administration of elections has been widely debated. The potential benefits are greater efficiency, speed and accuracy (Norris 2001; Norris 2002 (b); and Norris 2004). Perhaps the most important and influential argument concerns the claim that remote electronic voting will make the process more convenient and thereby strengthen electoral turnout and civic engagement, especially for the 'wired' younger generation (Stratford and

*Thanks for their help in the preparation of this chapter are due to the UK Electoral Commission and to Ben Marshall, Kate Sullivan and David Maher for generous help in providing the MORI data and for background briefing papers, as well as to the BBC Political Research Department, in particular Giles Edwards, who also provided invaluable research papers.

Part I: Current Issues in Voter Turnout

Stratford 2001; and Borgers 2002). If citizens will not come to the polls, it is argued, why not bring the polls closer to citizens?

Until recently almost no systematic evidence derived from actual elections was available to allow us to examine this issue. Evidence is, however, available from pilot schemes conducted in local government elections in England in 2000 and 2002, and most recently on 1 May 2003. These contests are characteristically low-salience events where only a third of the electorate usually vote. In constituencies that offered all-postal voting facilities (where the electoral authorities automatically send out ballot papers for postal voting to all those on the electoral register during an extended period before election day, and there is no alternative) turnout was about 50 per cent while, in constituencies in which the pilot schemes used remote e-voting combined with traditional polling stations, remote e-voting proved ineffective in improving overall turnout. There are therefore good reasons to be sceptical about claims that electronic technologies can automatically resuscitate electoral participation. Remote e-voting may expand citizen choice, but it proved far less effective in improving turnout than the use of the old-fashioned post.

In its July 2003 report, *The Shape of Elections to Come*, the Electoral Commission in the UK recommended all-postal votes as standard practice for all local elections, with further evaluation before the practice is extended to other types of election (UK Electoral Commission 2003). With regard to e-voting it was more cautious, suggesting that it should continue to be tested, but with the overall aim of using it as a way of providing citizens with more choice of ways of casting their votes rather than of improving turnout.

Electronic voting can be subdivided into two categories.

- remote e-voting—the transmission of a secure and secret official ballot to electoral officials via various electronic information and communication technologies from a site located away from the polling station, whether from home, the workplace or a public access point. It is sometimes taken to mean only voting by the Internet, but here includes the use of many different electronic devices which are capable of transmitting an electronic ballot, including computers, touch-tone terrestrial telephones, cell (mobile) phones, text messaging devices and digital television; and
- on-site electronic voting used within the traditional polling station, exemplified by touch-activated screens, dedicated computer terminals or electronic counting devices.

The Pros and Cons of e-Voting

Its proponents suggest many advantages that may come from implementing e-voting.

- The most important is the added convenience for the voter. Allowing citizens to cast a ballot from home or the workplace could reduce the time and effort required to participate in person at the polling station. It may also help overcome problems of social exclusion, especially for those with limited mobility, such as the elderly, carers confined to the home by dependent relatives, or employees and shift workers with little flexibility in their work hours, as well as for those who are travelling away from home and for overseas residents. The use of remote e-voting can be regarded in many respects as an extension of the use of other familiar and well tested facilities already widely available in many countries, including postal, absentee, overseas or advance voting. (For the best discussion of the administrative arrangements for registration and voting found around the world see the web site of the Administration and Cost of Elections project at <http://www.aceproject.org>. For further detail see Maley 2000; Blais 2000; Massicotte 2000; Blais and Dobrzynska 1998; Lijphart 1997; Jackman and Miller 1995; Jackman 1987; Powell 1986; and Crewe 1981.)
- Both remote and on-site electronic voting could potentially reduce the 'information costs' of participation by providing relevant information at the time people are actually casting their vote, for example, by incorporating an optional web page display of standardized biographies of candidates or providing a briefing synopsis explaining each side of a referendum issue.
- For officials, well designed and effective electronic technologies, either remote or on-site, could potentially improve and streamline the process of electoral administration, by increasing the efficiency, speed and accuracy of recording and counting votes (see e.g. Barber 1998; Rash 1997; Schwartz 1996; Budge 1996; Rheingold 1993; and Arterton 1987).

Against these arguments, sceptics counter that many current limitations—technological, socio-economic and practical—combine to create substantial barriers to the effective implementation of e-voting.

Technological Barriers

Democratic electoral systems must meet certain stringent standards of security, data protection, secrecy, reliability, accuracy, efficiency, integrity and equality, and public confidence in the integrity of the electoral system must be maintained to ensure the legitimacy of the outcome. Electronic votes cast in a general election could be a high-

Voter Turnout in Western Europe

profile target for malicious publicity-seeking hackers. Various high-profile cases, the recent spate of disruptive viruses and the volume of e-mail 'spam' may have reduced public confidence in the security of the Internet. Critics claim that the technology required to authenticate voters and to ensure the accuracy and integrity of the election system either does not exist at present or is not sufficiently widely available to be assessed. Task forces reviewing the evidence, such as those of the US National Science Foundation and the British Electoral Reform Society, are doubtful about the technological, security and legal issues surrounding e-voting, suggesting that further exploratory pilot studies are required before it is adopted (Internet Policy Institute 2001; and Independent Commission on Alternative Voting Methods 2002).

When remote e-voting has been tried in small-scale pilot studies, the security and technological issues involved in casting even hundreds of votes electronically have often proved problematic. In October 2001, for example, the residents of the Dutch towns of Leidschendam and Voorburg were given the chance to vote via the Internet on the choices for the merged towns' new name. The vote was abandoned when it became obvious that more votes had been cast than there were electors (British Broadcasting Corporation 7 January 2002). The Arizona Democratic primary election of 2000, which also experienced many technical glitches, has been widely quoted, although it remains difficult to assess how far it is possible to generalize from it given the particular circumstances of this unique contest (Gibson 2002; and Solop 2001).

It remains unclear whether the purely administrative problems that currently surround the practical issues of security, secrecy and integrity might eventually be resolved by technological and scientific innovations. Potential problems of voter fraud might be overcome by advances in biometric voice recognition, retina scanning and fingerprint recognition, for example, or by the widespread use of 'smart cards' as identifiers with a computer chip and unique digital certificates.

Social Barriers

Setting aside these important technical and security matters for the moment, another fundamental issue is the problems that could arise if remote e-voting serves to exacerbate existing structural inequalities in electoral participation. In democracies the electoral process should be equally available to every citizen, without discriminating against any particular group. This important principle is widely recognized in the practices of locating traditional polling stations throughout local communities and of translating the instructions for registration and voting

Figure 6.1: Social Profile of the Online Community, European Union Member Countries, 1996–2000

	% online spring 1996	% online spring 2000	Change 1996–2000
<i>Age group</i>			
15–25	9	28	+ 19
26–44	7	28	+ 21
45–64	5	21	+ 16
65+	1	6	+ 5
<i>HH income category</i>			
--	4	12	+ 8
-	3	15	+ 12
+	5	24	+ 19
++	10	44	+ 34
<i>Age finished education</i>			
Up to 15	1	7	+ 6
16–19 years	4	19	+ 15
20+	9	38	+ 29
<i>Gender</i>			
Men	6	25	+ 19
Women	4	21	+ 17
<i>Occupational status</i>			
Managers	14	44	+ 30
Other white collar	8	29	+ 21
Manual worker	3	15	+ 12
Home worker	2	8	+ 6
Unemployed	3	10	+ 7
Student	13	44	+ 31
All	5	22	+ 17

Note: HH = Head of household.

Sources: Eurobarometer 44.2 (spring 1996) and 53.0 (spring 2000).

into the languages spoken by minority populations. Critics charge that the use of remote e-voting from home or work could violate the principle of equitable access, given the existence of the familiar 'digital divide' between the information 'haves' and the 'have-nots'—between rich and poor, between graduates and those with minimal educational qualifications, and between the younger and older generations (Norris 2001; and Norris 2002 (b), chapter 5). Making remote voting easier for those with access to electronic technologies could further skew participation, and therefore political influence, towards more affluent and wired socio-economic groups. Surveys by Eurobarometer show the European digital divide in 1996: access to online technologies was concentrated among the younger generations, more affluent households, university graduates, managers and white-collar workers, students and, to a lesser extent, men; and by spring 2000 the social profile had not changed much (see

Part I: Current Issues in Voter Turnout

figure 6.1). The strongest rise in access had been among the most affluent households, the well educated, and managerial professionals, although use had spread rapidly among the early-middle-aged as well as the youngest age group. In 2000 the digital divide by age, gender, education, income and class remained significant, as did the marked contrasts in Internet access between the countries of Northern and Southern Europe (Eurobarometer spring 1996, spring 2000). Age is very important for turnout, as is discussed later.

This familiar pattern suggests that the digital divide would probably reinforce, or even widen, many of the familiar socio-economic disparities in electoral participation that already exist, including those of social class, education, gender and income. Yet there is one important qualification to this conclusion: remote e-voting could encourage younger people to take advantage of this opportunity.

This argument does not, of course, apply to other forms of remote e-voting from public kiosks at traditional polling stations or in public places such as libraries, town halls, schools and community centres, where principles similar to those that determine the location of traditional polling stations would apply. But it becomes relevant if remote e-voting is available from any home or workplace computer terminal, which is the most radical and exciting application of this principle. Moreover, poor design could discourage some citizens from voting using new technologies, for example, the disabled, those with low literacy skills or the elderly. On the other hand, the real advantages of e-voting are reduced because people would still have to travel to a public location, while the security problems would remain.

Practical Barriers

The theory that we can use to understand electoral participation, developed more fully elsewhere, suggests that the incentives that motivate citizens to vote represent a product of three factors (see also Norris 2002 (b); and Norris 2004):

- the electoral costs involved in registering to vote, sorting out relevant information, deciding how to vote, and then actually casting a ballot;
- electoral choices, determined largely by the range of political parties, candidates and issues listed on the ballot paper; and
- electoral decisiveness, influenced by how far votes cast for each party, candidate or issue are thought to determine the outcome.

Electoral Costs

The theory assumes that rational citizens will be less likely to vote if they face major costs in participating.

This includes registering as electors, becoming informed about the issues, parties and candidates, and finally casting a ballot to express their voting choice. Standard rational choice theories suggest that, all other things being equal, the deterrent of higher costs reduces electoral participation.

Holding elections on a weekend or holiday, or over a series of days, rather than on a workday can reduce costs (Franklin 2004).

Registration procedures are often believed to be an important hurdle. In many countries, including the UK, Sweden and Canada, registration is the responsibility of the government, conducted via a door-to-door canvas or annual census, so most eligible citizens are automatically enrolled to vote. In others, including the United States, France and Brazil, citizens have to apply to register, often well ahead of the election, and complicated procedures and time-consuming or restrictive practices can depress participation levels (Katz 1997, table 13.2).

In this regard, remote e-voting can be seen as essentially similar in principle to other remote voting facilities that are in common use, exemplified by the widely available special arrangements for mobile populations, including the use of mail, proxy, absentee or overseas votes, as well as polling facilities for the elderly and disabled in nursing homes and hospitals.

Electoral Choices

Electoral choices are determined by broader characteristics of the political system, including the options available on the ballot paper (notably the range of parties and candidates) and the policy alternatives listed for referendum issues. In turn, these options can be related to the type of electoral system, the party system, and other basic political institutions such as a parliamentary or presidential system.

Rational voter theories suggest that in general, all other things being equal, the greater the range of choices available on the ballot, the more easily the voter will find an option (a party, candidate or referendum issue) that reflects his or her own viewpoint, preferences and interests, and therefore the stronger the incentive to vote. Remote e-voting is unlikely to have an impact on any of these factors.

Electoral Decisiveness

Electoral decisiveness, meaning the political benefits anticipated from voting in determining the composition of parliament and government, and the public policy agenda, is also important. In elections that are expected to be close, citizens are likely to feel a greater incentive to get to the polls than they do in those where the outcome appears to be a foregone conclusion. Studies in the UK, for example, have found that, since the Second World

War, the smaller the difference between the national shares of the vote for the two major parties, the higher the level of electoral participation (Heath and Taylor 1999). Of course the actual benefits of casting a single vote may, on purely rational grounds, be illusory, because one vote is unlikely to decide the outcome of an election, but this is not to deny the psychological belief that in close elections each vote counts for more than it does in safe contests.

There are trade-offs between electoral choice and electoral decisiveness. Widening the range of choice on the ballot paper may allow citizens to find a closer match to their interests. But if the party system becomes too fragmented with multiple choices, then casting a vote for a smaller party will be even less likely to influence the outcome. Moreover a wider range of choices increases the costs of becoming informed about alternative candidates, parties and issues.

The introduction of remote e-voting from the home or workplace would probably marginally reduce the costs to the elector of casting a vote at a polling station but it would be unlikely to affect other important costs, such as the significant cognitive demands required to sort out the relevant information in deciding how to vote, nor would it influence electoral choices and electoral decisiveness. The Internet as such cannot be regarded as a panacea for all the ills of electoral participation, which are the result of many deep-seated forces. In particular, it cannot affect how far citizens feel that they have a genuine choice that matches their prior preferences and that their vote counts.

Evidence for Evaluating Remote e-Voting

What evidence would allow us to evaluate these issues? Here we can turn to the UK, which has gone further than any other country in testing the impact of a wide variety of remote e-voting technologies during actual elections.

Concern about electoral participation has risen in the UK. The 2001 general election saw turnout plummet, from 71.5 per cent to 59.4 per cent of the electorate—the lowest since 1918. Moreover, this followed historically low levels of turnout at successive local elections from 1998 to 2000 and in the European Parliament elections of 1999 when only 27 per cent of the electorate bothered to vote. (For details, see the tables in Part III.) This pattern is worrying for democracy as the legitimacy of the electoral process, and the mandate of the government, might eventually be undermined. The Labour government has proposed modernizing electoral administration in the attempt to re-engage the electorate. Recent changes enabled by the Representation of the People Acts 2000 and 2001 include universal postal voting (available on request without a reason having to be given), an extension of the traditional polling hours, and more modern

methods of voting, including the use of telephone and Internet-based voting.

Innovations in polling places, polling hours and all-postal ballots were tested in 38 pilot schemes used among 3.5 million eligible electors in the May 2000 local elections and 30 more pilot schemes tried among 2.5 million eligible electors in the May 2002 local elections. The Electoral Commission concluded that these generated interesting preliminary results, with significant increases in turnout (particularly from all-postal voting schemes), no significant technical problems of implementation or electoral management, and no evidence of fraud. Following evaluation, the government signalled its desire to use e-voting by the next general election after 2006, and substantial resources were allocated to fund further pilot studies at local government level. Nevertheless many significant questions remained. The commission concluded that the initial conclusions needed to be tested more extensively, especially facilities for remote e-voting using multiple technologies (UK Electoral Commission 2002).

Accordingly a further series of 59 pilot schemes were conducted in the May 2003 local elections. In all 17 of them explored innovative ways of using remote e-voting. For comparison, the Electoral Commission also continued to examine the use of all-postal ballots in over half of the pilot schemes,¹ and in the remaining constituencies the public cast a traditional in-person vote by marking crosses on standard ballot papers in local polling stations. Examples of the May 2003 initiatives included:

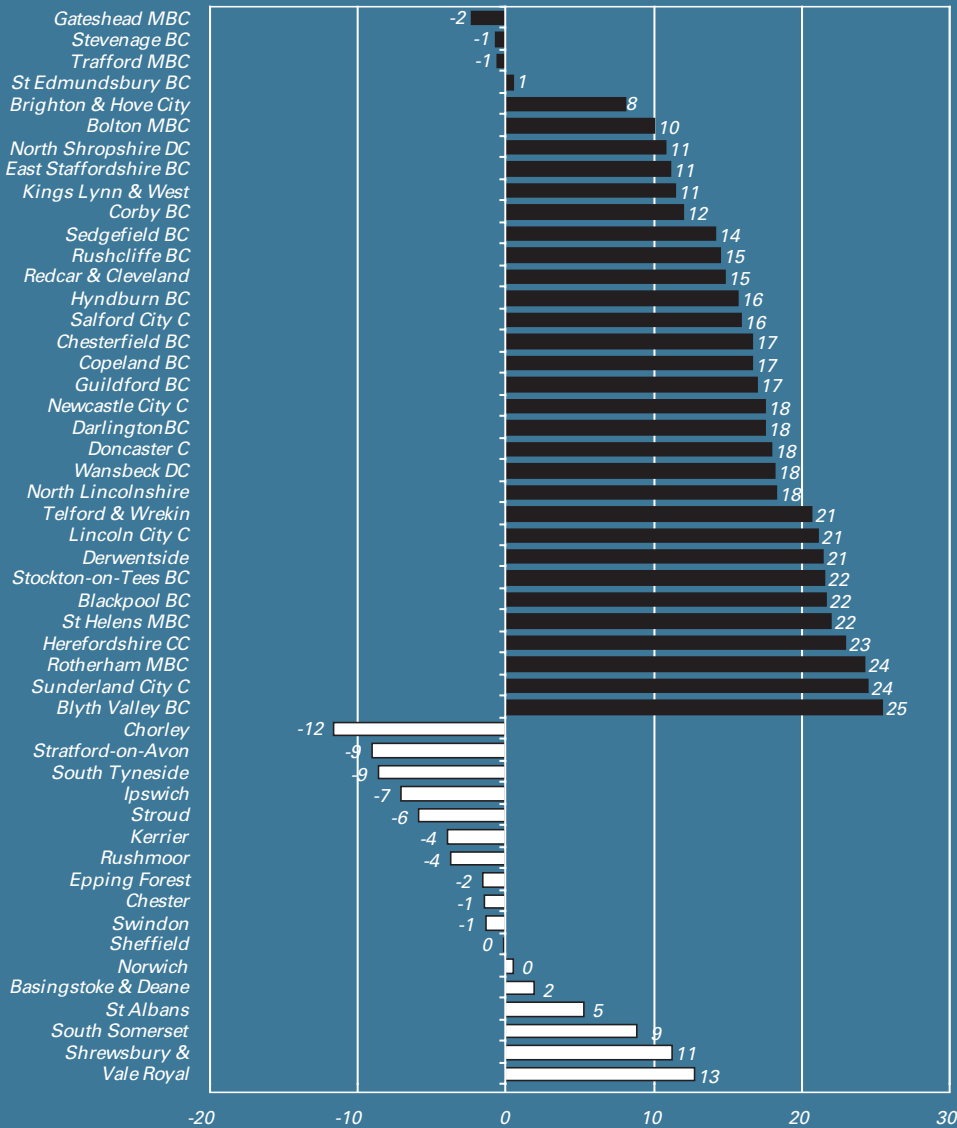
- all-postal voting, Internet and telephone voting throughout, and electronic counting;
- voting via the Internet, by telephone and by SNS text messaging;
- all-postal voting, voting by the Internet, telephone and digital television, and electronic counting;
- voting from terminals in local libraries;
- voting via public kiosks, the Internet, telephone and mobile phone text messaging; and
- extended voting hours.

Other pilot schemes used electronic counting, mobile polling stations and extended polling hours.

The political context in May 2003 was a low-key one, with a strong government in Parliament, the news dominated by events in Iraq, and a degree of 'election overload'. Not surprisingly, overall turnout was down 9 per cent in Scotland (from 1999, the inaugural election for the Scottish Parliament), and 8 per cent in Wales. In England, however, despite expectations, turnout was 37 per cent, a rise of 5 per cent from 1999 and of 3 per cent from 2002.

Part I: Current Issues in Voter Turnout

Figure 6.2: Percentage Change in Turnout in the May 2003 UK Local Election Pilot Schemes



Notes: Turnout is defined here as valid votes cast as a percentage of the eligible electorate.

Source: The UK Electoral Commission.

The black bars represent all postal ballots and the lighter bars electronic voting pilots.

How far was the increase in the English local elections due to the pilot initiatives?

Two sources of evidence are available to analyse the patterns of turnout. First, we can examine the change in the macro levels of turnout in the local authority districts using the pilot schemes in May 2003 compared against the level of turnout in the last benchmark election in these same areas. Second, to understand the micro-level behaviour of voters and the reasons behind patterns of

electoral participation, we can analyse the post-election survey conducted by the opinion poll organization MORI on behalf of the Electoral Commission. MORI interviewed a representative sample of approximately 200 adults aged over 18 years in 29 of the 59 authorities which were piloting new voting arrangements at the May 2003 elections. A total of 6,185 interviews were conducted between 2 and 12 May 2003.²

The Impact of e-Voting

In districts where all-postal voting was used, the results illustrate its outstanding success. On average turnout increased from one-third (34 per cent) to almost half (49.3 per cent) of the electorate in these districts. The increase was even more remarkable in some northern areas where turnout had been lowest. Only three saw any slight fall. A 15 per cent average increase in turnout was also found in the 2002 all-postal pilot schemes, and this confirms the consistency and robustness of these results. The Electoral Commission found very limited evidence that the use of all-postal voting led to any increase in fraud or electoral offences. Of course, part of the rise in turnout could be a one-off effect of publicity and novelty value; but the fact that the rise in turnout was fairly substantial and reasonably consistent across many different types of urban and rural areas, as well as different parts of England, suggests that at least some of the benefits of postal voting are likely to persist if it is used more widely in future local elections.

By contrast, the districts using e-voting showed a far more mixed picture of turnout, as illustrated in figure 6.2. Overall only about 9 per cent of the electorate in these districts used the electronic technologies to cast a ballot, with most of the public opting for traditional methods of voting. Three districts using e-voting did experience a rise in turnout of 9–13 per cent, but two of these offered the option of postal voting as well. Overall, two-thirds of the areas experimenting with e-voting registered a modest fall in turnout, disappointing the hopes of the reformers.

All-postal voting and remote e-voting share certain important features. Both offer voters additional convenience over traditional in-person visits to the polling station. So why should areas using these facilities generate such different patterns of macro-level turnout? Here we need to turn to the micro-level survey data to understand more fully how the public responded to these opportunities, and which social groups used the all-postal and e-voting facilities. In particular, even if the electronic facilities generated no positive effects in aggregate turnout that were evident at district level, there could still be differential patterns in which certain social groups took more advantage of the new voting facilities than others. In particular it is important to monitor whether younger people—who are both the most wired generation and also the group least likely to turn out to vote using conventional methods—might prove more likely to vote using e-voting facilities. The MORI post-election survey showed the familiar curvilinear pattern of reported voting by age: as a multitude of studies have found, younger people are persistently less likely to participate, with voting rising to a peak in late middle age, until there is a fall among the over-70s, who often have difficulty in getting out to the polls.

Respondents in the MORI survey can be divided into three major categories according to whether the type of pilot scheme used in their district was (a) combined, (b) any electronic, or (c) all-postal. Figure 6.3 shows the breakdown of reported voting by the type of pilot area and by major age groups.

Figure 6.3: Reported Voting Participation by Age Group in the May 2003 British Local Election Pilot Schemes
Figures are percentages.

Type of pilot	Age group	Did not vote	Reported voting at a polling station	electronically	by post	Total
Combined pilots	Younger	84	N/a	8	8	100
	Middle-aged	61	N/a	9	30	100
	Older	25	N/a	7	68	100
Electronic pilots	Younger	84	10	5	1	100
	Middle-aged	70	20	8	3	100
	Older	47	38	8	8	100
All-postal pilots	Younger	81	N/a	N/a	19	100
	Middle-aged	58	N/a	N/a	42	100
	Older	29	N/a	N/a	71	100

Note: Younger = 18–29 years old; middle-aged = 30–59; older = 60+ years old. N/a = not applicable in pilot area.

Source: MORI post-election survey of 6,185 electors 2–12 May 2003 in 29 local authorities piloting new voting arrangement. The survey results were weighted by wtfinal. For further details see <<http://www.mori.com/polls/2003/electoralcommission.shtml>>.

Part I: Current Issues in Voter Turnout

In the combined pilot areas there were huge disparities in reported voting participation by age group: 84 per cent of young people said that they did not vote, compared with only one-quarter of the over-60s. Just fewer than one in ten in each of the age groups used the electronic means of voting, and this pattern was fairly similar among young and old. But postal voting proved by far the most popular among the older group, who often have limited mobility.

The all-postal ballot pilots generated similar age differentials to the combined pilot areas: only one-fifth of the younger group reported voting compared with almost three-quarters of the elderly.

The last category of pilot schemes allowed people to cast a ballot either electronically or in the traditional way in person at the polling station. In these areas, electors could also opt for postal vote by application, but did not receive the option automatically. This category saw an intriguing pattern: as we have seen, aggregate levels of turnout actually fell in some of these areas, and overall across all these pilot schemes turnout did not increase. One of the main reasons uncovered by this analysis is that without all-postal voting the elderly are less likely to vote either in person at polling stations or electronically. And in these areas, while younger people do use the new e-voting means, nevertheless they remain less likely to vote than the older generation. Compared with other pilots, the strength of the age regression coefficient is reduced in the electronic pilot schemes, but this effect occurs mainly by depressing the participation of the elderly, rather than by boosting the participation of the young.

Multivariate analysis, introducing controls for gender, race and class into logistic regression models of voting participation in each category of pilot schemes, confirmed that the effect of age remained consistently significant even after applying controls, and that the age effect diminished most under the electronic pilot schemes. This suggests that the use of electronic voting technologies combined with in-person voting in traditional polling stations alone, if not supplemented by the simultaneous use of automatic postal ballots, would not bolster turnout. Quite simply, the older generation remain the least comfortable using new technologies. They are also the social sector with the strongest habit of voting, and yet the least physical mobility, who are therefore most motivated to take advantage of opportunities to cast their ballot by post.

The theory developed earlier suggests that reducing the costs of voting helps, but in order to participate citizens also need to feel that they have genuine electoral choices and that casting a vote will have an important impact through electoral decisiveness. Convenience in casting a

ballot therefore only facilitates action if citizens are already motivated by broader political considerations.

Conclusions

The evidence presented in this study suggests that at present, even if the technical and social equality issues could be overcome, there are few grounds to believe that remote e-voting from home or from work on a large scale would radically improve turnout. It would probably have a modest impact on the younger generation, judging by the available evidence from the British pilot studies. And automatic postal ballots are far more effective in improving participation among the older generation, as well as being cheaper and more efficient to administer. Technological quick fixes, while superficially attractive, cannot solve long-term and deep-rooted civic ills. Yet this does not mean that we should abandon all hope of modernizing elections. The impact of all-postal voting proved positive and highly significant.

This is not to argue that the Internet fails to serve many other important functions during election campaigns, including for civic engagement. Content analysis of party web sites suggests that the Internet provides a more level playing field for party competition, serving information and communication functions that are particularly important for minor and fringe parties (Norris 2003). US surveys show that online communities can serve both 'bridging' and 'bonding' functions, strengthening social capital (Norris 2002 (a)). Experimental evidence shows that parties' web sites do indeed promote civic learning, and in this regard information on the Internet is analogous to campaign information from newspapers or television news (Norris and Sanders 2004). But survey evidence from the USA suggests strongly that e-voting would be used primarily by the people who are already most likely to participate, thereby still failing to reach the apathetic and disengaged (Norris 2002 (c)).

Perhaps the main impact of the Internet on democratic life will derive from its ability to strengthen the public sphere by expanding the information resources, channels of electronic communication, and networking capacity for organized interest groups, social movements, non-governmental organizations (NGOs), transnational policy networks, and political parties and candidates (Norris 2001). The debate about remote e-voting may in fact fail to identify the principal impact of the new information and communication technologies on democracy.

Endnotes

¹ One evaluation of the experience of all-postal ballots in Oregon found that this had a modest effect on electoral turnout, particularly in low-salience contests, but the main impact was to increase voter participation among the groups already most likely to vote, thereby increasing socio-economic inequalities in turnout (Karp and Banducci, 2000).

² There are limitations in what can be analysed using the MORI survey data. In particular, there was no 'control' sample of voters in non-pilot districts. None of the standard attitudinal measures used for analysing turnout, such as political efficacy and partisanship, were used. Many of the questions were filtered so that they were only asked of sub-samples in different pilot areas, thus making comparison across areas impossible. Moreover, the method of classifying 'pensioners' into the DE socio-economic class skewed the age profile in this category, thus making class analysis unreliable. There were also too few representatives of ethnic minorities to allow reliable analysis by racial group.

References and Further Reading

Administration and Cost of Elections (ACE) project, developed by International IDEA and the International Foundation for Election Systems (IFES), <<http://www.aceproject.org>>

Arterton, Christopher F., *Teledemocracy* (Newbury Park, Calif.: Sage, 1987)

Barber, Benjamin R., 'Three Scenarios for the Future of Technology and Strong Democracy', *Political Science Quarterly*, 113/4 (1998), pp. 573–90

Blais, André, 'Day of Election', in Richard Rose (ed.), *International Encyclopedia of Elections* (Washington, DC: CQ Press, 2000)

— and Dobrzynska, Agnieszka, 'Turnout in Electoral Democracies', *European Journal of Political Research*, 33/2 (1998), pp. 239–61

Borgers, T., 'Is Internet Voting a Good Thing?', *Journal of Institutional and Theoretical Economics*, 156/4 (2002), pp. 531–47

British Broadcasting Corporation (BBC), 'E-voting: A Load of Old Ballots?', 7 January 2002, <http://news.bbc.co.uk/1/hi/english/in_depth/sci_tech/2000/dot_life/newsid_1746000/1746902.stm>

British Government, Office of the e-Envoy, *In the Service of Democracy*, July 2002, <<http://www.edemocracy.gov.uk>>

Budge, Ian, *The New Challenge of Direct Democracy* (Oxford: Polity Press, 1996)

Crewe, Ivor, 'Electoral Participation', in Austin Ranney and David Butler (eds), *Democracy at the Polls* (Washington, DC: American Enterprise Institute for Public Policy Research, 1981)

Dictson, Derek and Ray, Dan, 'The Modern Democratic Revolution: An Objective Survey of Internet-Based Elections', 2000, <<http://www.Securepoll.com>>

Eurobarometer, 44.2 (spring 1996) and 53.0 (spring 2000)

Franklin, Mark N., 'The Dynamic of Electoral Participation', in Lawrence LeDuc, Richard G. Niemi and Pippa Norris (eds),

Comparing Democracies 2: New Challenges in the Study of Elections and Voting (London and Thousand Oaks, Calif.: Sage, 2002)

— *The Dynamics of Voter Turnout in Established Democracies since 1945* (New York: Cambridge University Press, forthcoming 2004)

Gibson, Rachel, 'Elections Online: Assessing Internet Voting in Light of the Arizona Democratic Primary', *Political Science Quarterly*, 116/4 (2002), pp. 561–83

Heath, Anthony and Taylor, Bridget, 'New Sources of Abstention?', in Geoffrey Evans and Pippa Norris (eds), *Critical Elections: British Parties and Voters in Long-term Perspective* (London: Sage, 1999)

Independent Commission on Alternative Voting Methods, *Elections in the 21st Century: From Paper-Ballot to e-Voting* (London: Electoral Reform Society, February 2002), <<http://www.electoralreform.org.uk/topstories/elevoting.htm>>

Internet Policy Institute for the National Science Foundation, *Report of the National Workshop on Internet Voting*, Mar. 2001, <http://www.electionline.org/site/docs/html/internet_policy_institute_report_summary.htm>

Jackman, Robert W., 'Political Institutions and Voter Turnout in Industrialized Democracies', *American Political Science Review*, 81 (1987), pp. 405–23

— and Miller, Ross A., 'Voter Turnout in Industrial Democracies during the 1980s', *Comparative Political Studies*, 27 (1995), pp. 467–92

Karp, Jeffrey A. and Banducci, Susan, 'Going Postal: How All-Mail Elections Influence Turnout', *Political Behavior*, 22/3 (2000), pp. 223–39

Katz, Richard S., *Democracy and Elections* (New York: Oxford University Press, 1997)

Lijphart, Arend, 'Unequal Participation: Democracy's Unresolved Dilemma', *American Political Science Review*, 91/1 (March 1997), pp. 1–14

Maley, Michael, 'Absentee Voting', in Richard Rose (ed.), *International Encyclopedia of Elections* (Washington, DC: CQ Press, 2000)

Massicotte, Louis, 'Day of Election', in Richard Rose (ed.), *International Encyclopedia of Elections* (Washington, DC: CQ Press, 2000)

MORI, 'New Ways to Vote', 1 August 2003, <<http://www.mori.com/polls/2003/electoralcommission.shtml>>

Norris, Pippa, 'The Bridging and Bonding Role of Online Communities', *Harvard International Journal of Press Politics*, 7/3 (2002), pp. 3–8 (2002 a)

— *Democratic Phoenix: Political Activism Worldwide* (New York: Cambridge University Press, 2002) (2002 b)

— *Digital Divide: Civic Engagement, Information Poverty and the Internet Worldwide* (New York: Cambridge University Press, 2001)

— *Electoral Engineering: Electoral Rules and Voting Choices* (New

Part I: Current Issues in Voter Turnout

- York: Cambridge University Press, forthcoming spring 2004)
- 'Preaching to the Converted? Pluralism, Participation and Party Websites', *Party Politics*, 9/1 (2003), pp. 21–45 (2003)
- 'Who Surfs? New Technology, Old Voters and Virtual Democracy in US Elections 1992–2000', in Elaine Kamarck and Joseph S. Nye (Jr), *Governance.com? Democracy in the Information Age*, revised edn (Washington, DC: Brookings Institution Press, 2002) (2002 c)
- and Sanders, David, 'Medium or Message? Campaign Learning during the 2001 British General Election', *Political Communications* (forthcoming 2004)
- Powell, G. Bingham (Jr), 'American Voter Turnout in Comparative Perspective', *American Political Science Review*, 80/1 (1986), pp. 17–43
- Rash, Wayne (Jr), *Politics on the Net: Wiring the Political Process* (New York: W. H. Freeman, 1997)
- Rheingold, Howard, *The Virtual Community: Homesteading on the Electronic Frontier* (Reading, Mass.: Addison Wesley, 1993)
- Schwartz, Edward, *Netactivism: How Citizens Use the Internet* (Sebastapol, Calif.: Songline Studios, 1996)
- Solop, F. I., 'Digital Democracy Comes of Age: Internet Voting and the 2000 Arizona Democratic Primary Election', *PS-Political Science and Politics*, 34/2 (2001), pp. 289–93
- Stratford, J. S. and Stratford, J., 'Computerized and Networked Government Information', *Journal of Government Information*, 28/3 (2001), pp. 297–301
- UK Electoral Commission, *The Shape of Elections to Come* (London: Electoral Commission, 31 July 2003), <<http://www.electoralcommission.gov.uk>>
- *Modernising Elections: A Strategic Evaluation of the 2002 Electoral Pilot Schemes* (London, 2002), <<http://www.electoralcommission.gov.uk>>

